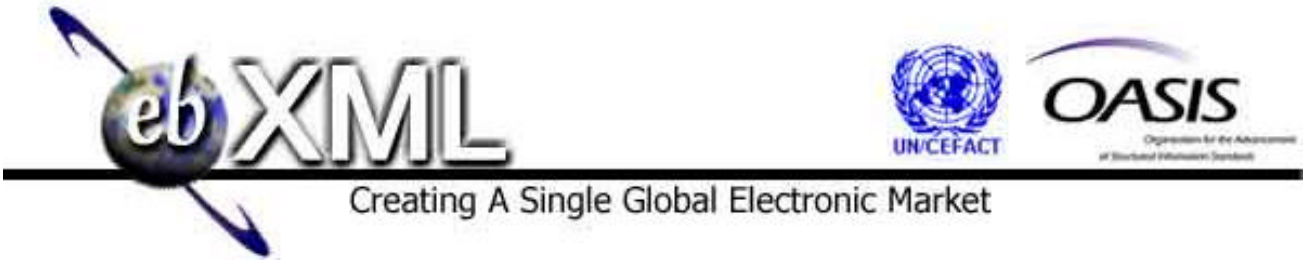

ebXML Registry Services

ebXML Registry Project Team

Working Draft 3/19/2001

This version: Version 0.88

1 Status of this Document

This document specifies an ebXML DRAFT STANDARD for the eBusiness community.

Distribution of this document is unlimited.

The document formatting is based on the Internet Society's Standard RFC format.

This version:

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***Latest version*:**

http://www.ebxml.org/project_teams/registry/private/RegistryServicesSpecification.pdf

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2 ebXML participants

The authors wish to acknowledge the support of the members of the Registry Project Team who contributed ideas to this specification by the group's discussion e-mail list, on conference calls and during face-to-face meetings.

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3 Introduction

3.1 Summary of Contents of Document

This document defines the interface to the ebXML Registry Services as well as interaction protocols, message definitions and XML schema.

A separate document, *ebXML Registry Information Model* [RIM], provides information on the types of metadata that is stored in the Registry as well as the relationships among the various metadata classes.

3.2 General Conventions

- UML diagrams are used as a way to concisely describe concepts. They are not intended to convey any specific implementation or methodology requirements.
- The term *"repository item"* is used to refer to actual Registry content (e.g. a DTD, as opposed to metadata about the DTD).
- The term "*RegistryEntry*" is used to refer to an object that provides metadata about a content instance (*repository item*).

The keywords MUST, MUST NOT, REQUIRED, SHALL, SHALL NOT, SHOULD, SHOULD NOT, RECOMMENDED, MAY, and OPTIONAL, when they appear in this document, are to be interpreted as described in RFC 2119 [Bra97].

3.3 Audience

The target audience for this specification is the community of software developers who are:

- Implementers of ebXML Registry Services
- Implementers of ebXML Registry Clients

3.4 Related Documents

The following specifications provide some background and related information to the reader:

a) *ebXML Registry Business Domain Model* [BDM] - defines requirements for ebXML Registry Services

b) *ebXML Registry Information Model [RIM]*- specifies the information model for the ebXML Registry

c) *ebXML Messaging Service Specification* [MS]

d) *ebXML Business Process Specification Schema* [BPM]

e) *Collaboration Protocol Specification* [CPA] - defines how profiles can be defined for a party and how two parties' profiles may be used to define a party agreement

4 Design Objectives

4.1 Goals

The goals of this version of the specification are to:

- Communicate functionality of Registry services to software developers
- Specify the interface for Registry clients and the Registry
- Provide a basis for future support of more complete ebXML Registry requirements
- Be compatible with other ebXML specifications

4.2 Caveats and Assumptions

The Registry Services specification is first in a series of phased deliverables. Later versions of the document will include additional functionality planned for future development.

It is assumed that:

1. All interactions between the clients of the ebXML Registry and the ebXML Registry will be conducted using ebXML Messaging Service.
2. All access to the Registry content is exposed via the interfaces defined for the Registry Services.
3. The Registry makes use of a Repository for storing and retrieving persistent information required by the Registry Services. This is an implementation detail that will not be discussed further in this specification.

5 System Overview

5.1 What The ebXML Registry Does

The ebXML Registry provides a set of services that enable sharing of information between interested parties for the purpose of enabling business process integration between such parties based on the ebXML specifications. The shared information is maintained as objects in a repository and managed by the ebXML Registry Services defined in this document.

5.2 How The ebXML Registry Works

This section describes at a high level some use cases illustrating how Registry clients may make use of Registry Services to conduct B2B exchanges. It is meant to be illustrative and not prescriptive.

The following scenario provides a high level textual example of those use cases in terms of interaction between Registry clients and the Registry. It is not a complete listing of the use cases envisioned in [BDM]. It assumes for purposes of example, a buyer and a seller who wish to conduct B2B exchanges using the RosettaNet PIP3A4 Purchase Order business protocol. It is assumed that both buyer and seller use the same Registry service provided by a third party. Note that the architecture supports other possibilities (e.g. each party uses their own private Registry).

5.2.1 Schema Documents Are Submitted

A third party such as an industry consortium or standards group can submit the necessary schema documents required by the RosettaNet PIP3A4 Purchase Order business protocol with the Registry using the Object Manager service of the Registry described in section 7.3.

5.2.2 Business Process Documents Are Submitted

A third party, such as an industry consortium or standards group, can submit the necessary business process documents required by the RosettaNet PIP3A4 Purchase Order business protocol with the Registry using the Object Manager service of the Registry described in section 7.3.

5.2.3 Seller's Collaboration Protocol Profile Is Submitted

The seller publishes its Collaboration Protocol Profile or CPP as defined by [CPA] to the Registry. The CPP describes the seller, the role it plays, the services it offers and the technical details on how those services may be accessed. The seller classifies their Collaboration Protocol Profile using the Registry's flexible classification capabilities.

5.2.4 Buyer Discovers The Seller

The buyer browses the Registry using classification schemes defined within the Registry using a Registry Browser GUI tool to discover a suitable seller. For example the buyer may look for all parties that are in the Automotive Industry, play a seller role, support the RosettaNet PIP3A4 process and sell Car Stereos.

The buyer discovers the seller's CPP and decides to engage in a partnership with the seller.

5.2.5 CPA Is Established

The buyer unilaterally creates a Collaboration Protocol Agreement or CPA as defined by [CPA] with the seller using the seller's CPP and their own CPP as input. The buyer proposes a partnership to the seller using the unilateral CPA. The seller accepts the proposed CPA and the partnership is established.

Once the seller accepts the CPA, the parties may begin to conduct B2B transactions as defined by [MS].

5.3 Where the Registry Services May Be Implemented

The Registry Services may be implemented in several ways including, as a public web site, as a private web site, hosted by an ASP or hosted by a VPN provider.

5.4 Implementation Conformance

An implementation may claim conformance as an ebXML Registry, an ebXML Registry Client or both.

5.4.1 Conformance as an ebXML Registy

An implementation claims conformance to this specification if it meets the following conditions:

1. Conforms to *the ebXML Registry Information Model [RIM].*
2. Supports the syntax and semantics of the Registry Interfaces and Security Model.
3. Supports the defined ebXML Error Message DTD.
4. Supports the defined ebXML Registry DTD.
5. Optionally supports the syntax and semantics of Section 8.3, SQL Query Support.

5.4.2 Conformance as an ebXML Registry Client

An implementation claims conformance to this specification, as an ebXML Registry Client if it meets the following conditions:

1. Supports the ebXML CPA and bootstrapping process.
2. Supports the syntax and the semantics of the Registry Client Interfaces.
3. Supports the defined ebXML Error Message DTD.
4. Supports the defined ebXML Registry DTD.

6 Registry Architecture

The ebXML Registry architecture consists of an ebXML Registry and ebXML Registry Clients. The Registry Client interfaces may be local to the registry or local to the user. Figure 1 depicts the two possible topologies supported by the registry architecture with respect to the Registry and Registry Clients.

The picture on the left side shows the scenario where the Registry provides a web based thin client application for accessing the Registry that is available to the user using a common web browser. In this scenario the Registry Client interfaces reside across the internet and local to the Registry from the user's perspective.

The picture on the right side shows the scenario where the user is using a fat client Registry Browser application to access the registry. In this scenario the Registry Client interfaces reside within the Registry Browser tool and are local to the Registry from the user's perspective. The Registry Client interfaces communicate with the Registry over the internet in this scenario.

A third topology made possible by the registry architecture is where the Registry Client interfaces reside in a server side business component such as an Purchasing business component. In this topology there may be no direct user interface or user intervention involved. Instead the Purchasing business component may access the Registry in an automated manner to select possible sellers or service providers based current business needs.

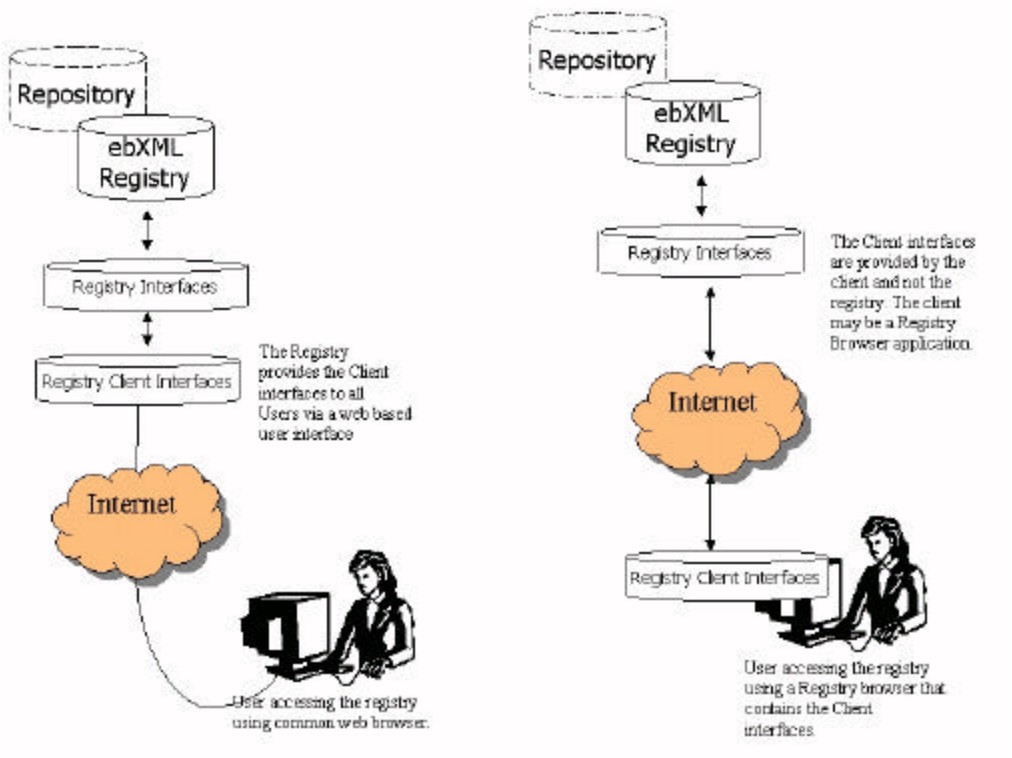


Figure 1: Registry Architecture Supports Flexible Topologies

Clients communicate with the Registry using the ebXML Messaging Service in the same manner as any two ebXML applications communicating with each other. Future versions of this specification may extend the Registry architecture to support distributed Registries.

This specification defines the interaction between a Registry client and the Registry. Although these interaction protocols are specific to the Registry, they are identical in nature to the interactions between two parties conducting B2B message communication using the ebXML Messaging Service as defined by [MS] and [CPA].

As such, these Registry specific interaction protocols are a special case of interactions between two parties using the ebXML Messaging Service.

6.1 Implicit CPA Between Clients And Registry

ebXML defines that a Collaboration Protocol Agreement [CPA] must exist between two parties in order for them to engage in B2B interactions.

Similarly, this specification defines a CPA between a Registry client and the Registry. Typical B2B interactions in ebXML require an explicit CPA to be negotiated between parties. However, the CPA between clients and the Registry is an implicit CPA that describes the interfaces that the Registry and the client expose to each other for Registry specific interactions. These interfaces are described in Figure 2 and subsequent sections.

6.2 Client To Registry Communication Bootstrapping

Because there is no previously established CPA between the Registry and the RegistryClient, the client must know at least one Transport specific communication address for the Registry. This communication address is typically a URL to Registry, although it could be some other type of address such as email address.

For example, if the communication used by the Registry is HTTP then the communication address is a URL. In this example, the client uses the Registry's public URL to create an implicit CPA with the Registry. When the client sends a request to the Registry, it provides a URL to itself. The Registry uses the client's URL to form its version of an implicit CPA with the client. At this point a session is established within the Registry.

For the duration of the client's session with the Registry, messages may be exchanged bidirectionally as required by the interaction protocols defined in this specification.

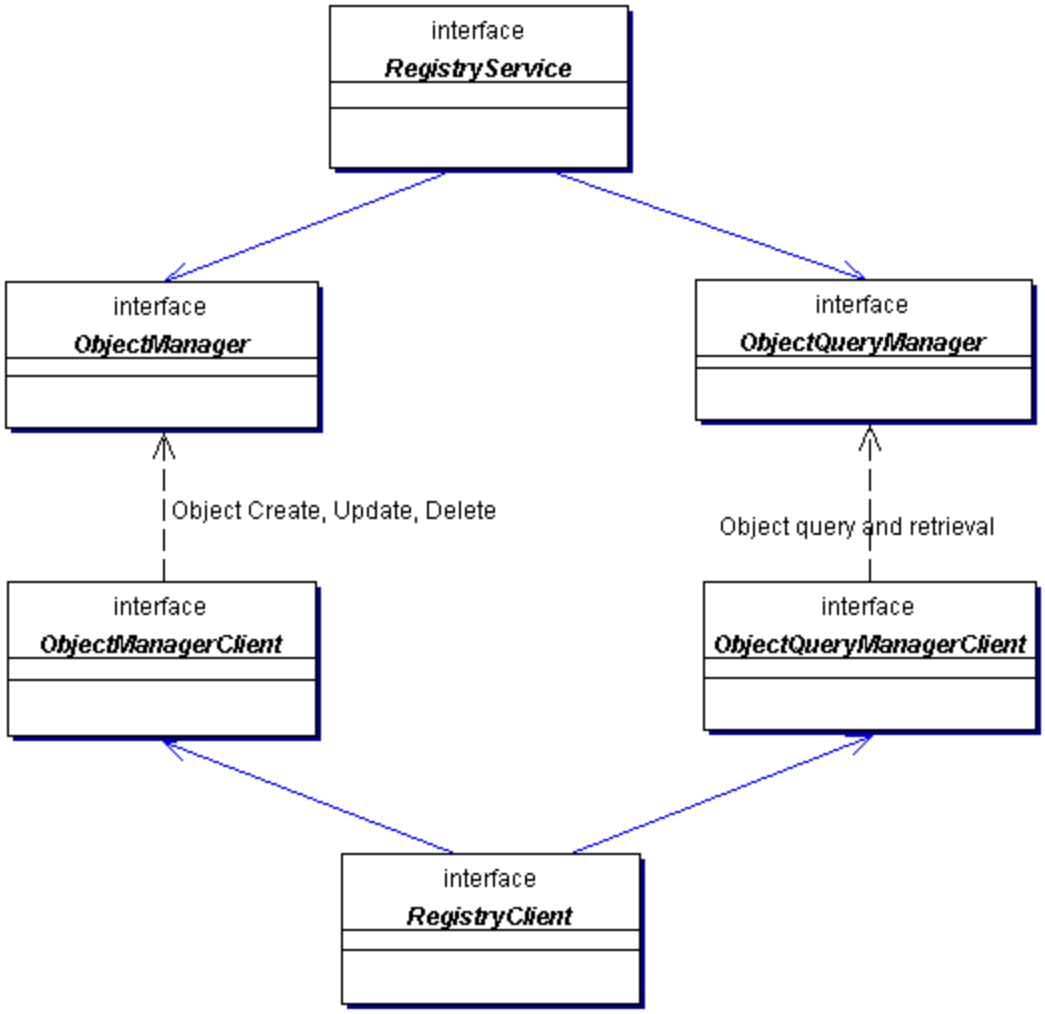


Figure 2: ebXML Registry Interfaces

6.3 Interfaces Exposed By The Registry

The ebXML Registry is shown to implement the following interfaces as its services (Registry Services).

6.3.1 Interface *RegistryService*

This is the principal interface implemented by the Registry. It provides the methods that are used by the client to discover service specific interfaces implemented by the Registry.

Method Summary	
ObjectManager	**getObjectManager**() Returns the ObjectManager interface implemented by the Registry service.
ObjectQueryManager	**getObjectQueryManager**() Returns the ObjectQueryManager interface implemented by the Registry service.

436

437 6.3.2 Interface *ObjectManager*

438

439 This is the interface exposed by the Registry Service that implements the Object
440 life cycle management functionality of the Registry. Its methods are invoked by
441 the Registry Client. For example, the client may use this interface to submit
442 objects, classify and associate objects and to deprecate and remove objects.

443

Method Summary	
Void	**approveObjects**(ApproveObjectsRequest req) Approves one or more previously submitted objects.
Void	**deprecateObjects**(DeprecateObjectsRequest req) Deprecates one or more previously submitted objects.
Void	**removeObjects**(RemoveObjectsRequest req) Removes one or more previously submitted objects from the Registry.
void	**submitObjects**(SubmitObjectsRequest req) Submits one or more objects and possibly metadata related to object such as Associations and Classifications.
void	**addSlots**(AddSlotsRequest req) Add slots to one or more registry entries.
void	**removeSlots**(RemoveSlotsRequest req) Remove specified slots from one or more registry entries.

444

444 6.3.3 Interface *ObjectQueryManager*

445

446 This is the interface exposed by the Registry that implements the Object Query
447 management service of the Registry. Its methods are invoked by the Registry
448 Client. For example, the client may use this interface to perform browse and drill
449 down queries or ad hoc queries on Registry content and metadata.

450

Method Summary	
GetClassificationTreeResponse	**getClassificationTree**(GetClassificationTreeRequest req) Returns the ClassificationNode Tree under the ClassificationNode specified in GetClassificationTreeRequest.
void	**getClassificationTreeAsync**(GetClassificationTreeRequest req) Asynchronous version of getClassificationTree.
GetClassifiedObjectsResponse	**getClassifiedObjects**(GetClassifiedObjectsRequest req) Returns a collection of references to RegistryEntries classified under specified ClassificationItem.
void	**getClassifiedObjectsAsync**(GetClassifiedObjectsRequest req) Asynchronous version of getClassifiedObjects.
GetContentResponse	**getContent**() Returns the specified content. The response includes all the content specified in the request as additional payloads within the response message.
void	**getContentAsync**() Async version of getContent.
GetRootClassificationNodesResponse	**getRootClassificationNodes**(GetRootClassificationNodesRequest req) Returns all root ClassificationNodes that match the namePattern attribute in GetRootClassificationNodesRequest request.
void	**getRootClassificationNodesAsync**(GetRootClassificationNodesRequest req) Async version of getRootClassificationNodes.

AdhocQueryResponse	**submitAdhocQuery**(AdhocQueryRequest req) Submit an ad hoc query request.
void	**submitAdhocQueryAsync**(AdhocQueryRequest req) Async version of submitAdhocQuery.

6.4 Interfaces Exposed By Registry Clients

An ebXML Registry client is shown to implement the following interfaces.

6.4.1 Interface *RegistryClient*

This is the principal interface implemented by a Registry client. The client provides this interface when creating a connection to the Registry. It provides the methods that are used by the Registry to discover service specific interfaces implemented by the client.

Method Summary	
ObjectManagerClient	**getObjectManagerClient**() Returns the ObjectManagerClient interface implemented by the client.
ObjectQueryManagerClient	**getObjectQueryManagerClient**() Returns the ObjectQueryManagerClient interface implemented by the client.

6.4.2 Interface *ObjectManagerClient*

This is the client callback interface for the ObjectManager service of the Registry. The ObjectManager invokes its methods to notify the client about the results of a previously submitted request from the client to the ObjectManager service.

Method Summary	
void	**addSlotsAccepted**(RequestAcceptedResponse resp) Notifies client that a previously submitted AddSlotsRequest was accepted by the Registry.

void	**addSlotsError**(ebXMLError error) Notifies client that a previously submitted AddSlotsRequest was not accepted by the Registry due to an error.
void	**approveObjectsAccepted**(RequestAcceptedResponse resp) Notifies client that a previously submitted ApproveObjectsRequest was accepted by the Registry.
void	**approveObjectsError**(ebXMLError error) Notifies client that a previously submitted ApproveObjectsRequest was not accepted by the Registry due to an error.
void	**deprecateObjectsAccepted**(RequestAcceptedResponse resp) Notifies client that a previously submitted DeprecateObjectsRequest was accepted by the Registry.
void	**deprecateObjectsError**(ebXMLError error) Notifies client that a previously submitted DeprecateObjectsRequest was not accepted by the Registry due to an error.
void	**removeObjectsAccepted**(RequestAcceptedResponse resp) Notifies client that a previously submitted RemoveObjectsRequest was accepted by the Registry.
void	**removeSlotsAccepted**(RequestAcceptedResponse resp) Notifies client that a previously submitted RemoveSlotsRequest was accepted by the Registry.
void	**removeObjectsError**(ebXMLError error) Notifies client that a previously submitted RemoveObjectsRequest was not accepted by the Registry due to an error.
void	**removeSlotsError**(ebXMLError error) Notifies client that a previously submitted RemoveSlotsRequest was not accepted by the Registry due to an error.
void	**submitObjectsAccepted**(RequestAcceptedResponse resp) Notifies client that a previously submitted SubmitObjectsRequest was accepted by the Registry.
void	**submitObjectsError**(ebXMLError error) Notifies client that a previously submitted SubmitObjectsRequest was not accepted by the Registry due to an error.

467

6.4.3 Interface *ObjectQueryManagerClient*

This is the callback interface for the ObjectQueryManager service of the Registry. The ObjectQueryManager invokes its methods to notify the client about the results of a previously submitted query request from client to the ObjectQueryManager service.

Method Summary	
void	**getClassificationTreeAsyncResponse**(GetClassificationTreeResponse resp) Async response for getClassificationTreeAsync request.
void	**getClassifiedObjectsAsyncResponse**(GetClassifiedObjectsResponse resp) Async response for getClassifiedObjectsAsync request.
void	**getContentAsyncResponse**(GetContentResponse resp) Async response for getContent request.
void	**getRootClassificationNodesAsyncResponse**(GetRootClassificationNodesResponse resp) Async response for getRootClassificationNodesAsync request.
void	**submitAdhocQueryAsyncResponse**(AdhocQueryResponse resp) Async response for submitAdhocQueryAsync request.

7 Object Management Service

This section defines the Object Management service of the Registry. The Object Management Service is a sub-service of the Registry service. It provides the functionality required by RegistryClients to manage the life cycle of repository items (e.g. XML documents required for ebXML business processes). The Object Management Service can be used with all types of repository items as well as the metadata objects specified in [RIM] such as Classification and Association.

In the current version of this specification, any client may submit content as long as the content is digitally signed by a certificate issued by a Certificate Authority recognized by this registry. Submitting Organizations do not have to register prior to submitting content.

7.1 Life Cycle of a Registry Entry

The main purpose of the Object Management service is to manage the life cycle of repository items in the Registry.

Figure 3 shows the typical life cycle of a repository item. Note that the current version of this specification does not support Object versioning. Object versioning will be added in a future version of this specification.

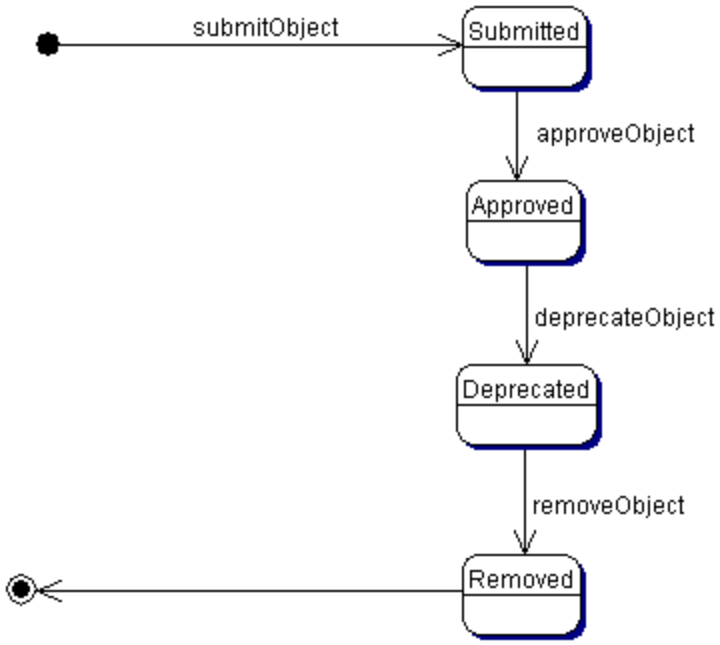


Figure 3: Life Cycle of a Registry Entry

7.2 Object Attributes

A repository item is associated with a set of standard metadata defined as attributes of the Object class and its sub-classes as described in [RIM]. These attributes reside outside of the actual repository item and catalog descriptive information about the repository item. XML DTD elements called ExtrinsicObject and IntrinsicObject (See Appendix A.2 for details.) are defined that encapsulates all object metadata attributes defined in [RIM] as attributes of the DTD elements.

7.3 The Submit Objects Protocol

This section describes the protocol of the Registry Service that allows a RegistryClient to submit one or more repository items in the repository using the *ObjectManager* on behalf of a Submitting Organization. It is expressed in UML notation as described in Appendix B.

Figure 4: Submit Objects Sequence Diagram

For details on the schema for the business documents shown in this process refer to Appendix A.2.

The SubmitObjectRequest message includes 1 or more SubmittedObject elements.

Each SubmittedObject element specifies an ExtrinsicObject along with any Classifications, Associations, ExternalLinks, or Packages related to the object being submitted.

An ExtrinsicObject element provides required metadata about the content being submitted to the Registry as defined by [RIM]. Note that these standard ExtrinsicObject attributes are separate from the repository item itself, thus allowing the ebXML Registry to catalog arbitrary objects. In addition each SubmittedObject in the request may optionally specify any number of Classifications, Associations and ExternalLinks for the SubmittedObject.

7.3.1 Universally Unique ID Generation

As specified by [RIM], all objects in the registry have a unique id. This id is usually generated by the registry. The `id` attribute for various submitted objects may optionally be supplied by the client. If the client supplies the `id` and it conforms to the format of a URN that specifies a DCE 128 bit UUID

(e.g. `urn:uuid:a2345678-1234-1234-123456789012`)

then the registry assumes that the client wishes to specify the `id` for the object. In this case, the registry must honor a client-supplied `id` and use it as the `id` attribute of the object in the registry. If the `id` is found by the registry to not be globally unique, the registry must send an ebXMLError in response with an InvalidIdError message.

If the client does not supply an `id` for a submitted object then the registry must generate a universally unique `id`. Whether the `id` is generated by the client or whether it is generated by the registry, it must be generated using the DCE 128 bit UUID generation algorithm as specified in [TA].

7.3.2 ID Attribute And Object References

The id attribute of an object may be used by other objects to reference the first object. Such references are common both within the SubmitObjectsRequest as well as within the registry. Within a SubmitObjectsRequest, the id attribute may be used to refer to an object within the SubmitObjectsRequest as well as to refer to an object within the registry. An object in the SubmitObjectsRequest that needs to be referred to within the request document may be assigned an id by the submitter so that it can be referenced within the request. The submitter may give the object a proper uuid URN in which case the id is permanently assigned to the object within the registry.

Alternatively, the submitter may assign an arbitrary id (not a proper uuid URN) as long as the id is unique within the request document. In this case the id serves as a linkage mechanism within the request document but must be ignored by the registry and replaced with a registry generated id upon submission.

When an object in a SubmitObjectsRequest needs to reference an object that is already in the registry, the request must contain an ObjectRef element whose id attribute is the id of the object in the registry. This id is by definition a proper uuid URN. An ObjectRef may be viewed as a proxy within the request for an Object that is in the registry.

7.3.3 Sample SubmitObjectsRequest

The following example shows several different use cases in a single SubmitObjectRequest. It does not show the complete ebXML Message with the message header and additional payloads in the message for the repository items.

A SubmitObjectsRequest includes a RegistryEntryList which contains any number of objects that are being submitted. It may also contain any number of ObjectRefs to link objects being submitted to objects already within the registry.

```
<?xml version = "1.0" encoding = "UTF-8"?>
```

```
<!DOCTYPE SubmitObjectsRequest SYSTEM "file:////home/najmi/Registry.dtd">

<SubmitObjectsRequest>
  <RegistryEntryList>

    <!–
    The following 3 objects package specified ExtrinsicObject in specified
    Package, where both the Package and the ExtrinsicObject are
    being  submitted
    -->
    <Package id = "acmePackage1" name = "Package #1" description = "ACME's package #1"/>
    <ExtrinsicObject id = "acmeCPP1" contentURI = "CPP1"
      objectType = "CPP" name = "Widget Profile"
      description = "ACME's profile for selling widgets"/>
    <Association id = "acmePackage1-acmeCPP1-Assoc" associationType = "Packages"
      sourceObject = "acmePackage1" targetObject = "acmeCPP1"/>

    <!–
    The following 3 objects package specified ExtrinsicObject in specified Package,
    Where the Package is being submitted and the ExtrinsicObject is
    already in registry
    -->
    <Package id = "acmePackage2" name = "Package #2" description = "ACME's package #2"/>
    <ObjectRef id = "urn:uuid:a2345678-1234-1234-123456789012"/>
    <Association id = "acmePackage2-alreadySubmittedCPP-Assoc"
      associationType = "Packages" sourceObject = "acmePackage2"
      targetObject = "urn:uuid:a2345678-1234-1234-123456789012"/>

    <!–
    The following 3 objects package specified ExtrinsicObject in specified Package,
    where the Package and the ExtrinsicObject are already in registry
    -->
    <ObjectRef id = "urn:uuid:b2345678-1234-1234-123456789012"/>
    <ObjectRef id = "urn:uuid:c2345678-1234-1234-123456789012"/>
    <!-- id is unspecified implying that registry must create a uuid for this object -->
    <Association associationType = "Packages"
      sourceObject = "urn:uuid:b2345678-1234-1234-123456789012"
      targetObject = "urn:uuid:c2345678-1234-1234-123456789012"/>

    <!–
    The following 3 objects externally link specified ExtrinsicObject using
    specified ExternalLink, where both the ExternalLink and the ExtrinsicObject
    are being submitted
    -->
    <ExternalLink id = "acmeLink1" name = "Link #1" description = "ACME's Link #1"/>
    <ExtrinsicObject id = "acmeCPP2" contentURI = "CPP2" objectType = "CPP"
      name = "Sprockets Profile" description = "ACME's profile for selling sprockets"/>
    <Association id = "acmeLink1-acmeCPP2-Assoc" associationType = "ExternallyLinks"
      sourceObject = "acmeLink1" targetObject = "acmeCPP2"/>

    <!--
    The following 2 objects externally link specified ExtrinsicObject using specified
    ExternalLink, where the ExternalLink is being submitted and the ExtrinsicObject
    is already in registry. Note that the targetObject points to an ObjectRef in a
    previous line
    -->
    <ExternalLink id = "acmeLink2" name = "Link #2" description = "ACME's Link #2"/>
    <Association id = "acmeLink2-alreadySubmittedCPP-Assoc"
      associationType = "ExternallyLinks" sourceObject = "acmeLink2"
      targetObject = "urn:uuid:a2345678-1234-1234-123456789012"/>

    <!--
    The following 2 objects externally identify specified ExtrinsicObject using specified
    ExternalIdentifier, where the ExternalIdentifier is being submitted and the
    ExtrinsicObject is already in registry. Note that the targetObject points to an
    ObjectRef in a previous line
    -->
    <ExternalIdentifier id = "acmeDUNSId" name = "DUNS" description = "DUNS ID for ACME"
```

```
      value = "13456789012"/>
    <Association id = "acmeDUNSId-alreadySubmittedCPP-Assoc"
      associationType = "ExternallyIdentifies" sourceObject = "acmeDUNSId"
      targetObject = "urn:uuid:a2345678-1234-1234-123456789012"/>

    <!--
    The following show submission of a brand new classification scheme in its entirety
    -->
    <ClassificationNode id = "geographyNode" name = "Geography"
      description = "The Geography scheme example from Registry Services Spec" />
    <ClassificationNode id = "asiaNode" name = "Asia"
      description = "The Asia node under the Geography node" parent="geographyNode" />
    <ClassificationNode id = "japanNode" name = "Japan"
      description ="The Japan node under the Asia node" parent="asiaNode" />
    <ClassificationNode id = "koreaNode" name = "Korea"
      description ="The Korea node under the Asia node" parent="koreaNode" />
    <ClassificationNode id = "europeNode" name = "Europe"
      description = "The Europe node under the Geography node" parent="geographyNode" />
    <ClassificationNode id = "germanyNode" name = "Germany"
      description ="The Germany node under the Asia node" parent="europeNode" />
    <ClassificationNode id = "northAmericaNode" name = "North America"
      description = "The North America node under the Geography node"
      parent="geographyNode" />
    <ClassificationNode id = "usNode" name = "US"
      description ="The US node under the Asia node" parent="asiaNode" />

    <!--
    The following show submission of a Automotive sub-tree of ClassificationNodes that
    gets added to an existing classification scheme named 'Industry'
    that is already in the registry
    -->
    <ObjectRef id="urn:uuid:d2345678-1234-1234-123456789012" />
    <ClassificationNode  id = "automotiveNode" name = "Automotive"
      description = "The Automotive sub-tree under Industry scheme"
      parent = "urn:uuid:d2345678-1234-1234-123456789012"/>
    <ClassificationNode id = "partSuppliersNode" name = "Parts Supplier"
      description = "The Parts Supplier node under the Automotive node"
      parent="automotiveNode" />
    <ClassificationNode id = "engineSuppliersNode" name = "Engine Supplier"
      description = "The Engine Supplier node under the Automotive node"
      parent="automotiveNode" />

    <!--
    The following show submission of 2 Classifications of an object  that is already in
    the registry using 2 ClassificationNodes. One ClassificationNode
    is being submitted in this request (Japan) while the other is already in the registry.
    -->
    <Classification id = "japanClassification"
      description = "Classifies object by /Geography/Asia/Japan node"
      classifiedObject="urn:uuid:a2345678-1234-1234-123456789012"
      classificationNode="japanNode" />
    <Classification id = "classificationUsingExistingNode"
      description = "Classifies object using a node in the registry"
      classifiedObject="urn:uuid:a2345678-1234-1234-123456789012"
      classificationNode="urn:uuid:e2345678-1234-1234-123456789012" />
    <ObjectRef id="urn:uuid:e2345678-1234-1234-123456789012" />


  </RegistryEntryList>
</SubmitObjectsRequest>
```

7.4 The Add Slots Protocol

This section describes the protocol of the Registry Service that allows a client to add slots to a previously submitted registry entry using the Object Manager. Slots provide a dynamic mechanism for extending registry entries as defined by [RIM].

Figure 5: Add Slots Sequence Diagram

7.5 The Remove Slots Protocol

This section describes the protocol of the Registry Service that allows a client to add slots to a previously submitted registry entry using the Object Manager.

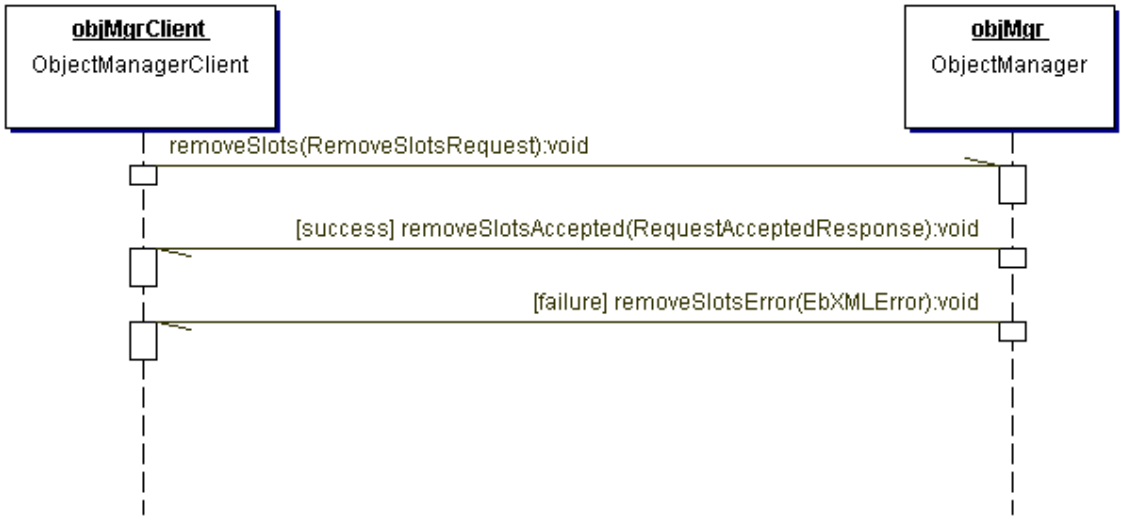


Figure 6: Remove Slots Sequence Diagram

7.6 The Approve Objects Protocol

This section describes the protocol of the Registry Service that allows a client to approve one or more previously submitted repository items using the Object Manager. Once a repository item is approved it will become available for use by business parties (e.g. during the assembly of new CPAs and Collaboration Protocol Profiles).

Figure 7: Approve Objects Sequence Diagram

For details on the schema for the business documents shown in this process refer to Appendix A.2.

7.7 The Deprecate Objects Protocol

This section describes the protocol of the Registry Service that allows a client to deprecate one or more previously submitted repository items using the Object Manager. Once an object is deprecated, no new references (e.g. *new* Associations, Classifications and ExternalLinks) to that object can be submitted. However, existing references to a deprecated object continue to function normally.

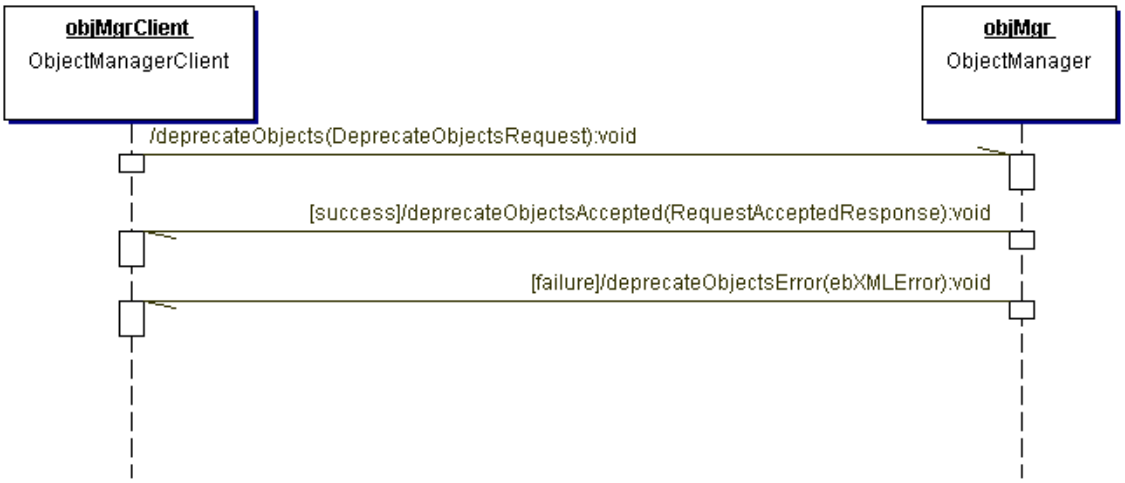


Figure 8: Deprecate Objects Sequence Diagram

For details on the schema for the business documents shown in this process refer to Appendix A.2.

7.8 The Remove Objects Protocol

This section describes the protocol of the Registry Service that allows a client to remove one or more Registry Entries and/or repository items using the Object Manager.

The RemoveObjectsRequest message is sent by a client to remove Registry Entries and/or repository items. The RemoveObjectsRequest element includes an XML attribute called *deletionScope* which is an enumeration that can have the values as defined by the following sections.

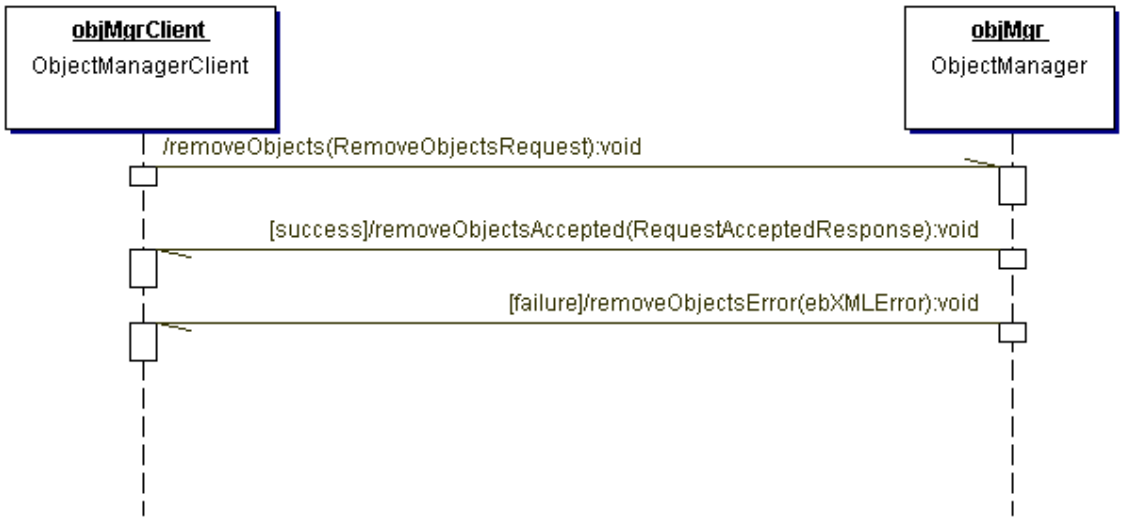
7.8.1 Deletion Scope DeleteRepositoryItemOnly

This deletionScope specifies that the request should delete the repository items for the specified registry entries but not delete the specified registry entries. This is useful in keeping references to the registry entries valid.

7.8.2 Deletion Scope DeleteAll

This deletionScope specifies that the request should delete both the RegistryEntry and the repository item for the specified registry entries. Only if all references (e.g. Associations, Classifications, ExternalLinks) to a RegistryEntry have been removed, can that RegistryEntry then be removed using a RemoveObjectsRequest with deletionScope DeleteAll. Attempts to remove a RegistryEntry while it still has references results in an InvalidRequestError that is returned within an ebXMLError message sent to the ObjectManagerClient by the ObjectManager.

747 The remove object protocol is expressed in UML notation as described in
748 Appendix B.



749

750 **Figure 9: Remove Objects Sequence Diagram**

751 For details on the schema for the business documents shown in this process
752 refer to Appendix A.2.

753 8 Object Query Management Service

754 This section describes the capabilities of the Registry Service that allow a client
755 (ObjectQueryManagerClient) to search for or query RegistryEntries in the ebXML
756 Registry using the ObjectQueryManager interface of the Registry.

757 The Registry supports multiple query capabilities. These include the following:

758 1. Browse and Drill Down Query
759 2. Filtered Query
760 3. SQL Query

761 The browse and drill down query [8.1] and the filtered query mechanism [8.2]
762 shall be supported by every Registry implementation. The SQL query mechanism
763 is an optional feature and may be provided by a registry implementation.
764 However, if a vendor provides an SQL query capability to an ebXML Registry
765 they must conform to this document. As such it is this capability is a normative
766 yet optional capability.

767 In a future version of this specification, the W3C XQuery syntax may be
768 considered as another query syntax.

Any errors in the query request messages are indicated in the corresponding query response message. Note that for each query request/response there is both a synchronous and asynchronous version of the interaction.

8.1 Browse and Drill Down Query Support

The browse and drill drown query style is completely supported by a set of interaction protocols between the ObjectQueryManagerClient and the ObjectQueryManager as described next.

8.1.1 Get Root Classification Nodes Request

An ObjectQueryManagerClient sends this request to get a list of root ClassificationNodes defined in the repository. Root classification nodes are defined as nodes that have no parent. Note that it is possible to specify a namePattern attribute that can filter on the name attribute of the root ClassificationNodes. The namePattern must be specified using a wildcard pattern defined by SQL-92 LIKE clause as defined by [SQL].

Figure 10: Get Root Classification Nodes Sequence Diagram

Figure 11: Get Root Classification Nodes Asynchronous Sequence Diagram

For details on the schema for the business documents shown in this process refer to Appendix A.2.

8.1.2 Get Classification Tree Request

An ObjectQueryManagerClient sends this request to get the ClassificationNode sub-tree defined in the repository under the ClassificationNodes specified in the request. Note that a GetClassificationTreeRequest can specify an integer attribute called *depth* to get the sub-tree up to the specified depth. If depth is the default value of 1, then only the immediate children of the specified ClassificationNodeList are returned. If depth is 0 or a negative number then the entire sub-tree is retrieved.

Figure 12: Get Classification Tree Sequence Diagram

Figure 13: Get Classification Tree Asynchronous Sequence Diagram

For details on the schema for the business documents shown in this process refer to Appendix A.2.

8.1.3 Get Classified Objects Request

An ObjectQueryManagerClient sends this request to get a list of RegistryEntries that are classified by all of the specified ClassificationNodes (or any of their descendants), as specified by the ObjectRefList in the request.

It is possible to get RegistryEntries based on matches with multiple classifications. Note that specifying a ClassificationNode is implicitly specifying a logical OR with all descendants of the specified ClassificationNode.

When a GetClassifiedObjectsRequest is sent to the ObjectQueryManager it should return Objects that are:

1. Either directly classified by the specified ClassificationNode
2. Or are directly classified by a descendant of the specified ClassificationNode

8.1.3.1 Get Classified Objects Request Example

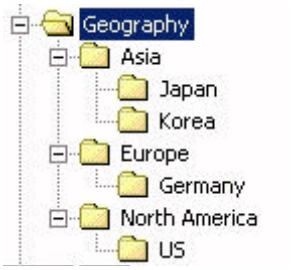


Figure 14: A Sample Geography Classification

Let us say a classification tree has the structure shown in Figure 14:

- If the Geography node is specified in the GetClassifiedObjectsRequest then the GetClassifiedObjectsResponse should include all RegistryEntries that are directly classified by Geography *or* North America *or* US *or* Asia *or* Japan *or* Korea *or* Europe *or* Germany.
- If the Asia node is specified in the GetClassifiedObjectsRequest then the GetClassifiedObjectsResponse should include all RegistryEntries that are directly classified by Asia *or* Japan *or* Korea.
- If the Japan *and* Korea nodes are specified in the GetClassifiedObjectsRequest then the GetClassifiedObjectsResponse should include all RegistryEntries that are directly classified by both Japan *and* Korea.
- If the North America *and* Asia node is specified in the GetClassifiedObjectsRequest then the GetClassifiedObjectsResponse should include all RegistryEntries that are directly classified by (North America *or* US) *and* (Asia *or* Japan *or* Korea).

8.2 Filter Query Support

The simple XML FilterQuery specified below shall be supported by every Registry implementation.

The FilterQuery syntax is tied to the structures defined in the Registry Information Model [RIM] and is not intended to be extensible. If new structures are added to the RIM, then the FilterQuery syntax and semantics can be extended at the same time. Each query alternative requires a binding to the structures defined by RIM.

The Registry will hold a self-describing profile that identifies all supported Query options. The RegistryProfile DTD is defined in appendix A.2. This profile can be retrieved as defined by section 8.4.1.

An XML FilterQuery element provides alternatives to query selected classes from the RIM. Each choice of a class pre-determines a virtual XML document that can be queried as a tree. The RIM Binding paragraphs in Sections 8.2.2 through 8.2.6 below identify the virtual hierarchy for each query alternative. The Semantic Rules for each query alternative specify the effect of that binding on query semantics.

Each FilterQuery alternative depends upon one or more *registry filters*, where a registry filter is a restricted *predicate clause* over the attributes of a single class. The supported registry filters are specified in Section 8.2.9 and the supported predicate clauses are defined in Section 8.2.10.

The GetRegistryEntry and GetRepositoryItem services defined below provide a way to structure an XML document as an expansion of the result of a RegistryEntryQuery. The GetRegistryEntry specified in Section 8.2.7 allows one to specify what metadata one wants returned with each registry entry identified in the result of a RegistryEntryQuery. The GetRepositoryItem specified in section 8.2.8 below allows one to specify what repository items one wants returned based on their relationships to the registry entries identified in the result of a RegistryEntryQuery.

A client submits a query to the ObjectQueryManager by sending an Adhoc QueryRequest. The ObjectQueryManager sends an AdhocQueryResponse back to the client. The request and the response for each query alternative, and the sequence diagrams for AdhocQueryRequest and AdhocQueryResponse, are all specified in section 8.3.12 below. A FilterQuery is one of the query options in an AdhocQueryRequest and a FilterQueryResult is the response that is to be returned as part of the AdhocQueryResponse.

8.2.1 FilterQuery

Purpose

To identify a set of registry instances from a specific registry class. Each alternative assumes a specific binding to RIM. The query result for each query alternative is a set of references to instances of the root class specified by the binding. The StatusResult is a success indication or a collection of warnings and/or exceptions.

Definition

```
<!ELEMENT FilterQuery
 (    RegistryEntryQuery
   |  AuditableEventQuery
   |  ClassificationNodeQuery
   |  RegistryPackageQuery
   |  OrganizationQuery        )>

<!ELEMENT FilterQueryResult
  (   RegistryEntryQueryResult
   |  AuditableEventQueryResult
   |  ClassificationNodeQueryResult
   |  RegistryPackageQueryResult
   |  OrganizationQueryResult   )>

<!ELEMENT RegistryEntryQueryResult ( RegistryEntryView* )>

<!ELEMENT RegistryEntryView EMPTY >
<!ATTLIST RegistryEntryView
   objectURN     CDATA     #REQUIRED
   contentURL    CDATA     #IMPLIED
   objectID      CDATA     #IMPLIED  >

<!ELEMENT AuditableEventQueryResult ( AuditableEventView* )>

<!ELEMENT AuditableEventView EMPTY >
<!ATTLIST AuditableEventView
   objectID      CDATA     #REQUIRED
   timestamp     CDATA     #REQUIRED  >

<!ELEMENT ClassificationNodeQueryResult
                (ClassificationNodeView*)>

<!ELEMENT ClassificationNodeView EMPTY >
<!ATTLIST ClassificationNodeView
   objectURN     CDATA     #REQUIRED
   contentURL    CDATA     #IMPLIED
   objectID      CDATA     #IMPLIED  >

<!ELEMENT RegistryPackageQueryResult ( RegistryPackageView* )>
```

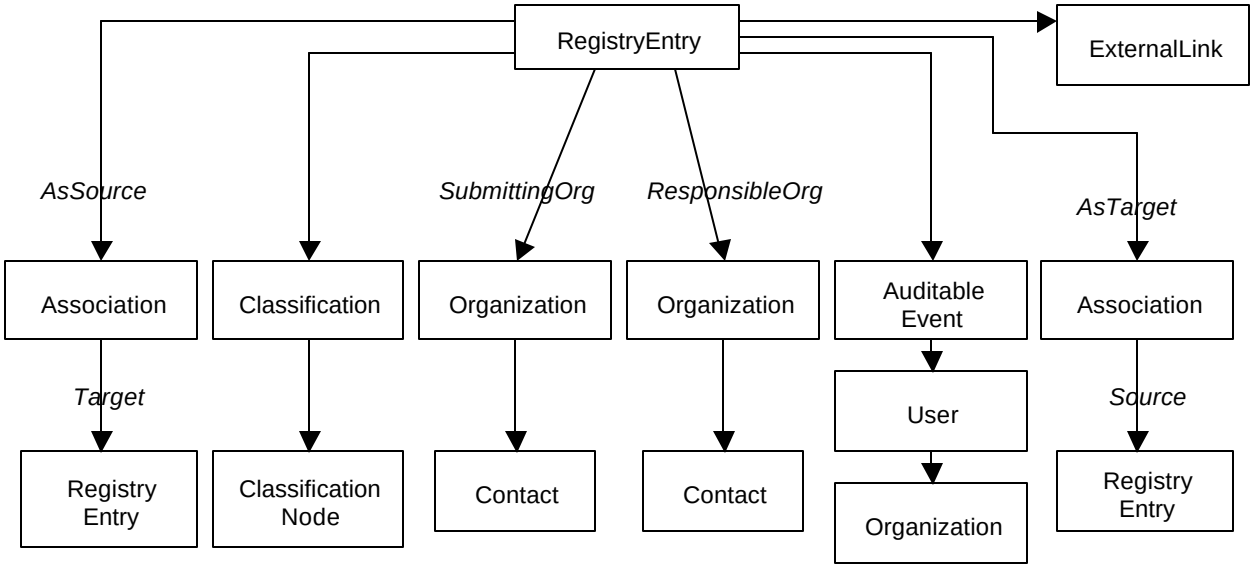
```
<!ELEMENT RegistryPackageView EMPTY >
<!ATTLIST RegistryPackageView
   objectURN     CDATA     #REQUIRED
   contentURL    CDATA     #IMPLIED
   objectID      CDATA     #IMPLIED  >

<!ELEMENT OrganizationQueryResult ( OrganizationView* )>

<!ELEMENT OrganizationView EMPTY >
<!ATTLIST OrganizationView
   orgURN        CDATA     #REQUIRED
   contactURL    CDATA     #IMPLIED
   objectID      CDATA     #IMPLIED  >

<!ELEMENT StatusResult  ( Success | ( Exception | Warning )+ >

   <!ELEMENT Success EMPTY >

   <!ELEMENT Exception ( #PCDATA )>
   <!ATTLIST Exception
      code   CDATA   #REQUIRED >

   <!ELEMENT Warning ( #PCDATA )>
   <!ATTLIST Warning
      code   CDATA   #REQUIRED >
```

Semantic Rules

1. The semantic rules for each FilterQuery alternative are specified in subsequent subsections.
2. Each FilterQueryResult is a set of XML reference elements to identify each instance of the result set. Each XML attribute carries a value derived from the value of an attribute specified in the Registry Information Model as follows:
   a) objectID is the value of the ID attribute of the Object class,
   b) objectURN and orgURN are URN values derived from the object ID,
   c) contentURL is a URL value derived from the contentURI attribute of the RegistryEntry class,
   d) timestamp is a literal value to represent the value of the timestamp attribute of the AuditableEvent class.
3. An Exception indicates that The FilterQuery was not successful, so the FilterQueryResult is empty. A warning indicates that the FilterQuery was successful, so the FilterQueryResult is accurate, but the warning may give additional information back to the user.
4. If any exception or warning results, then it is returned as the appropriate alternative of the StatusResult element. NOTE: This StatusResult may need to be modified to fit more closely with the ebXML TRP specification.

8.2.2 RegistryEntryQuery

Purpose



To identify a set of registry entry instances as the result of a query over selected registry metadata.

RIM Binding

Definition

```
   <!ELEMENT RegistryEntryQuery
      (  RegistryEntryFilter?,
         AsSourceAssociation*,
         AsTargetAssociation*,
         RegistryEntryClassification*,
         SubmittingOrgFilter?,
         ResponsibleOrgFilter?,
         ExternalLinkFilter*,
         RegistryEntryAuditableEvent*     )>

   <!ELEMENT AsSourceAssociation
     (   AssociationFilter?,
         RegistryEntryFilter?             )>

   <!ELEMENT AsTargetAssociation
     (   AssociationFilter?,
         RegistryEntryFilter?             )>

   <!ELEMENT RegistryEntryClassification
```

```
      (   ClassificationFilter?,
          ClassificationNodeFilter?        )>

  <!ELEMENT SubmittingOrgFilter
    (   OrganizationFilter?,
        ContactFilter?                     )>

  <!ELEMENT ResponsibleOrgFilter
    (   OrganizationFilter?,
        ContactFilter?                     )>

  <!ELEMENT RegistryEntryAuditableEvent
    (   AuditableEventFilter?,
        UserFilter?,
        OrganizationFilter?                )>
```

Semantic Rules

1. Let RE denote the set of all persistent RegistryEntry instances in the Registry. The following steps will eliminate instances in RE that do not satisfy the conditions of the specified filters.

   a) If a RegistryEntryFilter is not specified, or if RE is empty, then continue below; otherwise, let x be a registry entry in RE. If x does not satisfy the RegistryEntryFilter as defined in section 8.2.9, then remove x from RE.

   b) If an AsSourceAssociation element is not specified, or if RE is empty, then continue below; otherwise, let x be a remaining registry entry in RE. If x is not the source object of some Association instance, then remove x from RE; otherwise, treat each AsSourceAssociation element separately as follows:

      If no AssociationFilter is specified within AsSourceAssociation, then let AF be the set of all Association instances that have x as a source object; otherwise, let AF be the set of Association instances that satisfy the AssociationFilter and have x as the source object. If AF is empty, then remove x from RE. If no RegistryEntryFilter is specified within AsSourceAssociation, then let RET be the set of all RegistryEntry instances that are the target object of some element of AF; otherwise, let RET be the set of RegistryEntry instances that satisfy the RegistryEntryFilter and are the target object of some element of AF. If RET is empty, then remove x from RE.

   c) If an AsTargetAssociation element is not specified, or if RE is empty, then continue below; otherwise, let x be a remaining registry entry in RE. If x is not the target object of some Association instance, then remove x from RE; otherwise, treat each AsTargetAssociation element separately as follows:

If no AssociationFilter is specified within AsTargetAssociation, then let AF be the set of all Association instances that have x as a target object; otherwise, let AF be the set of Association instances that satisfy the AssociationFilter and have x as the target object. If AF is empty, then remove x from RE. If no RegistryEntryFilter is specified within AsTargetAssociation, then let RES be the set of all RegistryEntry instances that are the source object of some element of AF; otherwise, let RES be the set of RegistryEntry instances that satisfy the RegistryEntryFilter and are the source object of some element of AF. If RES is empty, then remove x from RE.

d) If a RegistryEntryClassification element is not specified, or if RE is empty, then continue below; otherwise, let x be a remaining registry entry in RE. If x is not the source object of some Classification instance, then remove x from RE; otherwise, treat each RegistryEntryClassification element separately as follows:

If no ClassificationFilter is specified within the RegistryEntryClassification, then let CL be the set of all Classification instances that have x as a source object; otherwise, let CL be the set of Classification instances that satisfy the ClassificationFilter and have x as the source object. If CL is empty, then remove x from RE. If no ClassificationNodeFilter is specified within RegistryEntryClassification, then let CN be the set of all ClassificationNode instances that are the target object of some element of CL; otherwise, let CN be the set of RegistryEntry instances that satisfy the ClassificationNodeFilter and are the target object of some element of CL. If CN is empty, then remove x from RE.

e) If a SubmittingOrgFilter element is not specified, or if RE is empty, then continue below; otherwise, let x be a remaining registry entry in RE. If x does not have a submitting organization, then remove x from RE. If no OrganizationFilter is specified within SubmittingOrgFilter, then let SO be the set of all Organization instances that are the submitting organization for x; otherwise, let SO be the set of Organization instances that satisfy the OrganizationFilter and are the submitting organization for x. If SO is empty, then remove x from RE. If no ContactFilter is specified within SubmittingOrgFilter, then let CT be the set of all Contact instances that are the contacts for some element of SO; otherwise, let CT be the set of Contact instances that satisfy the ContactFilter and are the contacts for some element of SO. If CT is empty, then remove x from RE.

f) If a ResponsibleOrgFilter element is not specified, or if RE is empty, then continue below; otherwise, let x be a remaining registry entry in RE. If x does not have a responsible organization, then remove x from RE. If no OrganizationFilter is specified within ResponsibleOrgFilter, then let RO be the set of all Organization instances that are the responsible organization for x; otherwise, let RO be the set of Organization instances that satisfy the OrganizationFilter and are the responsible organization for x. If RO is empty, then remove x from RE. If no ContactFilter is specified within SubmittingOrgFilter, then let CT be the set of all Contact instances that are the contacts for some element of RO; otherwise, let CT be the set of Contact instances that satisfy the ContactFilter and are the contacts for some element of RO. If CT is empty, then remove x from RE.

g) If an ExternalLinkFilter element is not specified, or if RE is empty, then continue below; otherwise, let x be a remaining registry entry in RE. If x is not linked to some ExternalLink instance, then remove x from RE; otherwise, treat each ExternalLinkFilter element separately as follows:

Let EL be the set of ExternalLink instances that satisfy the ExternalLinkFilter and are linked to x. If EL is empty, then remove x from RE.

h) If a RegistryEntryAuditableEvent element is not specified, or if RE is empty, then continue below; otherwise, let x be a remaining registry entry in RE. If x is not linked to some AuditableEvent instance, then remove x from RE; otherwise, treat each RegistryEntryAuditableEvent element separately as follows:

If an AuditableEventsFilter is not specified within RegistryEntryAuditableEvent, then let AE be the set of all AuditableEvent instances for x; otherwise, let AE be the set of AuditableEvent instances that satisfy the AuditableEventFilter and are auditable events for x. If AE is empty, then remove x from RE. If an UserFilter is not specified within RegistryEntryAuditableEvent, then let AI be the set of all User instances linked to an element of AE; otherwise, let AI be the set of User instances that satisfy the UserFilter and are linked to an element of AE. If AI is empty, then remove x from RE. If an OrganizationFilter is not specified within RegistryEntryAuditableEvent, then let OG be the set of all Organization instances that are linked to an element of AI; otherwise, let OG be the set of Organization instances that satisfy the OrganizationFilter and are linked to an element of AI. If OG is empty, then remove x from RE.

2. If RE is empty, then raise the warning: *registry entry query result is empty*; otherwise, return RE as the result of the RegistryEntryQuery.

3. Return any accumulated warnings or exceptions as the StatusResult associated with the RegistryEntryQuery.

Examples

A client wants to establish a trading relationship with XYZ Corporation and wants to know if they have registered any of their business documents in the Registry. The following query returns a set of registry entry identifiers for currently registered items submitted by any organization whose name includes the string "XYZ". It does not return any registry entry identifiers for superceded, replaced, deprecated, or withdrawn items.

```
<RegistryEntryQuery>
   <RegistryEntryFilter>
         Status EQ "Registered"          -- code by Clause, Section 8.2.10
   </RegistryEntryFilter>
   <SubmittingOrgFilter>
      <OrganizationFilter>
            Name CONTAINS "XYZ"          -- code by Clause, Section 8.2.10
      </Organizationfilter>
   </SubmittingOrgFilter>
</RegistryEntryquery>
```

A client is using the UNSPSC classification scheme and wants to identify all companies that deal with products classified as "Integrated circuit components", i.e. UNSPSC code "321118". The client knows that companies have registered their PartyProfile documents in the Registry, and that each profile has been classified by the products the company deals with. The following query returns a set of registry entry identifiers for profiles of companies that deal with integrated circuit components.

```
<RegistryEntryQuery>
   <RegistryEntryFilter>
      ObjectType EQ "PartyProfile" AND      -- code by Clause, Section 8.2.10
      Status EQ "Registered"
   </RegistryEntryFilter>
   <RegistryEntryClassification>
      <ClassificationNodeFilter>
         ID STARTSWITH "urn:un:spsc:321118   -- code by Clause, Section 8.2.10
      </ClassificationNodeFilter>
   <RegistryEntryClassification>
</RegistryEntryQuery>
```

A client application needs all items that are classified by two different classification schemes, one based on "Industry" and another based on "Geography". Both schemes have been defined by ebXML and are registered. The root nodes of each scheme are identified by "urn:ebxml:cs:industry" and "urn:ebxml:cs:geography", respectively. The following query identifies registry entries for all registered items that are classified by "Industry/Automotive" and by "Geography/Asia/Japan".

```
<RegistryEntryQuery>
   <RegistryEntryClassification>
      <ClassificationNodeFilter>
         ID STARTSWITH "urn:ebxml:cs:industry" AND
         Path EQ "Industry/Automotive"     -- code by Clause, Section 8.2.10
      </ClassificationNodeFilter>
      <ClassificationNodeFilter>
         ID STARTSWITH "urn:ebxml:cs:geography" AND
         Path EQ "Geography/Asia/Japan"    -- code by Clause, Section 8.2.10
      </ClassificationNodeFilter>
   </RegistryEntryClassification>
</RegistryEntryQuery>
```

A client application wishes to identify all registry Package instances that have a given registry entry as a member of the package. The following query identifies all registry packages that contain the registry entry identified by URN "urn:path:myitem" as a member:

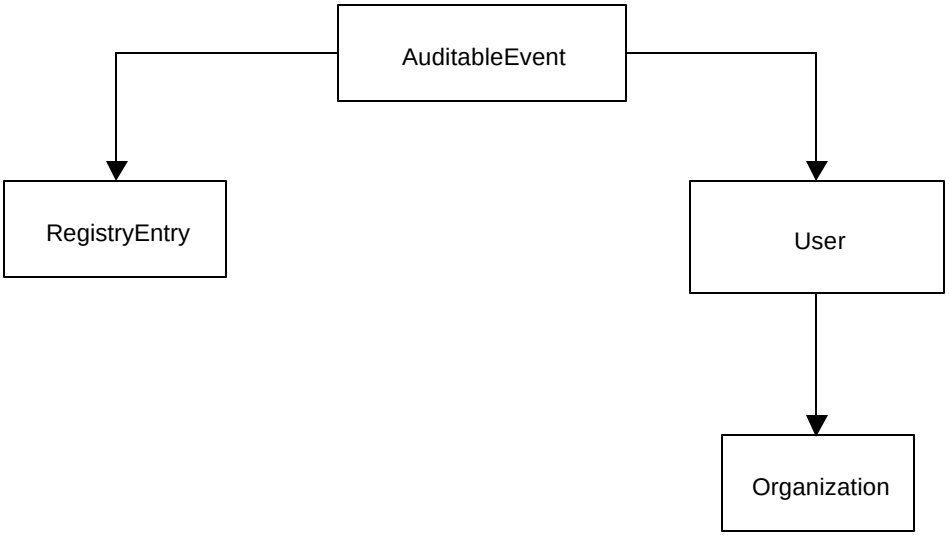
```
<RegistryEntryQuery>
   <RegistryEntryFilter>
      objectType EQ "RegistryPackage"       -- code by Clause, Section 8.2.10
   </RegistryEntryFilter>
   <AsSourceAssociation>
      <AssociationFilter>                   -- code by Clause, Section 8.2.10
         AssociationType EQ "HasMember" AND
         TargetObject EQ "urn:path:myitem"
      </AssociationFilter>
   </AsSourceAssociation>
</RegistryEntryQuery>
```

A client application wishes to identify all ClassificationNode instances that have some given keyword as part of their name or description. The following query identifies all registry classification nodes that contain the keyword "transistor" as part of their name or as part of their description.

```
<RegistryEntryQuery>
   <RegistryEntryFilter>
      ObjectType="ClassificationNode" AND
      (Name CONTAINS "transistor" OR     -- code by Clause, Section 8.2.10
      Description CONTAINS "transistor")
   </RegistryEntryFilter>
</RegistryEntryQuery>
```

8.2.3 AuditableEventQuery

Purpose



To identify a set of auditable event instances as the result of a query over selected registry metadata.

RIM Binding

Definition

```
<!ELEMENT AuditableEventQuery
  (   AuditableEventFilter?,
      RegistryEntryQuery*,
      UserFilter?,
      OrganizationQuery?   )>
```

Semantic Rules

1. Let AE denote the set of all persistent AuditableEvent instances in the Registry. The following steps will eliminate instances in AE that do not satisfy the conditions of the specified filters.

a) If an AuditableEventFilter is not specified, or if AE is empty, then continue below; otherwise, let x be an auditable event in AE. If x does not satisfy the AuditableEventFilter as defined in section 8.2.9, then remove x from AE.

b) If a RegistryEntryQuery element is not specified, or if AE is empty, then continue below; otherwise, let x be a remaining auditable event in AE. Treat each RegistryEntryQuery element separately as follows:

   Let RE be the result set of the RegistryEntryQuery as defined in section 8.2.2. If x is not an auditable event for some registry entry in RE, then remove x from AE.

c) If an UserFilter element is not specified, or if AE is empty, then continue below; otherwise, let x be a remaining auditable event in AE. Let AI be the set of all User instances that satisfy the UserFilter and are linked to x as their auditable event. If AI is empty, then remove x from AE.

d) If an OrganizationQuery element is not specified, or if RE is empty, then continue below; otherwise, let x be a remaining registry entry in RE. If an UserFilter element is not specified, then let AI be the set of all User instances that are linked to x as their auditable event; otherwise, let AI be the set of all User instances that satisfy the UserFilter and are linked to x as their auditable event. Let OG be the set of Organization instances that are the organization of an element in AI and are in the result set of the OrganizationQuery. If OG is empty, then remove x from AE.

2. If AE is empty, then raise the warning: *auditable event query result is empty*.
3. Return AE as the result of the AuditableEventQuery.
4. Return any accumulated warnings or exceptions as the StatusResult associated with the AuditableEventQuery.

Examples

A Registry client has registered an item and it has been assigned a URN identifier "urn:path:myitem". The client is now interested in all events in the past year that have impacted that item. The following query will return a set of AuditableEvent identifiers for all such events.

```
<AuditableEventquery>
   <AuditableEventFilter>
      Timestamp GE "2001-01-01" AND        -- code by Clause, Section 8.2.10
      RegistryEntry EQ "urn:path:myitem"
   </AuditableEventFilter>
</AuditableEventQuery>
```

A client company has many registered objects in the Registry. The Registry allows events submitted by other organizations to have an impact on your registered items, e.g. new classifications and new associations. The following query will return a set of identifiers for all events that have an impact on an item that you submitted, and you are responsible for, but the event was initiated by some other party.

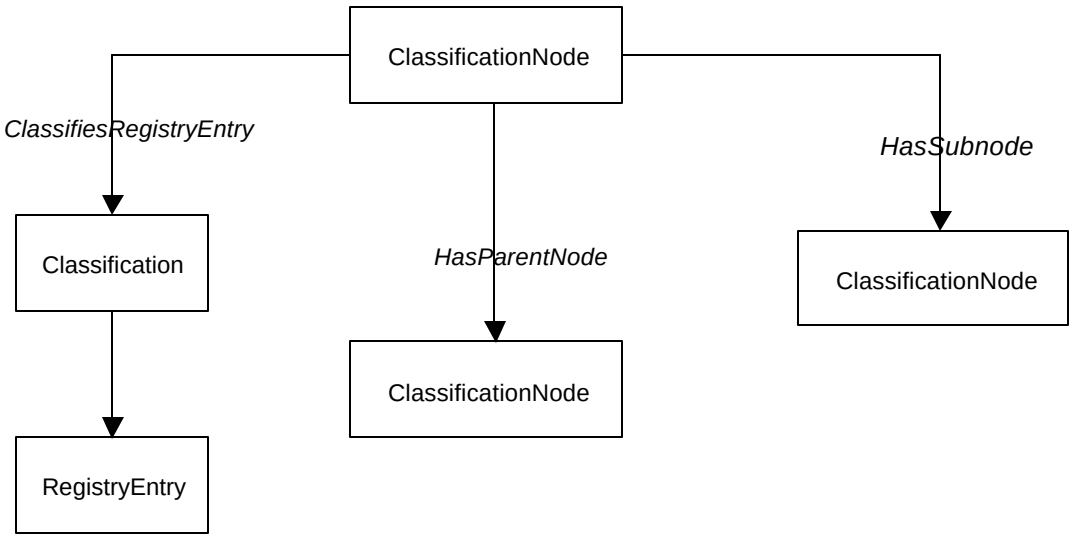
```
<AuditableEventquery>
   <RegistryEntryQuery>
      <SubmittingOrgFilter>
         <OrganizationFilter>
            ID EQ "urn:somepath:myorg"     -- code by Clause, Section 8.2.10
         </OrganizationFilter>
      </SubmittingOrgFilter>
      <ResponsibleOrgFilter>
         <OrganizationFilter>
            ID EQ "urn:somepath:myorg"     -- code by Clause, Section 8.2.10
         </OrganizationFilter>
      </ResponsibleOrgFilter>
   </RegistryEntryQuery>
   <UserFilter>
      <OrganizationFilter>
         ID NE "urn:somepath:myorg"        -- code by Clause, Section 8.2.10
      </OrganizationFilter>
   </UserFilter>
</AuditableEventQuery>
```

8.2.4 ClassificationNodeQuery

Purpose

To identify a set of classification node instances as the result of a query over selected registry metadata.

RIM Binding



Definition

```
<!ELEMENT ClassificationNodeQuery
   (  ClassificationNodeFilter?,
      ClassifiesRegistryEntry*,
      HasParentNode?,
      HasSubnode*              )>

<!ELEMENT ClassifiesRegistryEntry
   (  ClassificationFilter?,
      RegistryEntryQuery?      )>

<!ELEMENT HasParentNode
   (  ClassificationNodeFilter?,
      HasParentNode?           )>

<!ELEMENT HasSubnode
   (  ClassificationNodeFilter?,
      HasSubnode*              )>
```

Semantic Rules

1. Let CN denote the set of all persistent ClassificationNode instances in the Registry. The following steps will eliminate instances in CN that do not satisfy the conditions of the specified filters.

   a) If a ClassificationNodeFilter is not specified, or if CN is empty, then continue below; otherwise, let x be a classification node in CN. If x does not satisfy the ClassificationNodeFilter as defined in section 8.2.9, then remove x from AE.

   b) If a ClassifiesRegistryEntry element is not specified, or if CN is empty, then continue below; otherwise, let x be a remaining classification node in CN. If x is not the target object of some Classification instance, then remove x from CN; otherwise, treat each ClassifiesRegistryEntry element separately as follows:

      If no ClassificationFilter is specified within the ClassifiesRegistryEntry element, then let CL be the set of all Classification instances that have x as the target object; otherwise, let CL be the set of Classification instances that satisfy the ClassificationFilter and have x as the target object. If CL is empty, then remove x from CN. If no RegistryEntryQuery is specified within the ClassifiesRegistryEntry element, then let RES be the set of all RegistryEntry instances that are the source object of some classification instance in CL; otherwise, let RE be the result set of the RegistryEntryQuery as defined in section 8.2.2 and let RES be the set of all instances in RE that are the source object of some classification in CL. If RES is empty, then remove x from CN.

   c) If a HasParentNode element is not specified, or if CN is empty, then continue below; otherwise, let x be a remaining classification node in CN and execute the following paragraph with n=x.

      Let n be a classification node instance. If n does not have a parent node (i.e. if n is a root node), then remove x from CN. Let p be the parent node of n. If a ClassificationNodeFilter element is directly contained in HasParentNode and if p does not satisfy the ClassificationNodeFilter, then remove x from CN.

      If another HasParentNode element is directly contained within this HasParentNode element, then repeat the previous paragraph with n=p.

   d) If a HasSubnode element is not specified, or if CN is empty, then continue below; otherwise, let x be a remaining classification node in CN. If x is not the parent node of some ClassificationNode instance, then remove x from CN; otherwise, treat each HasSubnode element separately and execute the following paragraph with n = x.

Let n be a classification node instance. If a ClassificationNodeFilter is not specified within the HasSubnode element then let CNC be the set of all classification nodes that have n as their parent node; otherwise, let CNC be the set of all classification nodes that satisfy the ClassificationNodeFilter and have n as their parent node. If CNC is empty then remove x from CN; otherwise, let y be an element of CNC and continue with the next paragraph.

If the HasSubnode element is terminal, i.e. if it does not directly contain another HasSubnode element, then continue below; otherwise, repeat the previous paragraph with the new HasSubnode element and with n = y.

2. If CN is empty, then raise the warning: *classification node query result is empty*.
3. Return CN as the result of the ClassificationNodeQuery.
4. Return any accumulated warnings or exceptions as the StatusResult associated with the ClassificationNodeQuery.

Examples

A client application wishes to identify all classification nodes defined in the Registry that are root nodes and have a name that contains the phrase “product code” or the phrase “product type”. Note: By convention, if a classification node has no parent (i.e. is a root node), then the parent attribute of that instance is set to null and is represented as a literal by a zero length string.

```
<ClassificationNodeQuery>
   <ClassificationNodeFilter>
      (name CONTAINS “product code” OR     -- code by Clause, Section 8.2.10
      name CONTAINS “product type”) AND
      parent EQ “”
   </ClassificationNodeFilter>
</ClassificationNodeQuery>
```

A client application wishes to identify all of the classification nodes at the third level of a classification scheme hierarchy. The client knows that the URN identifier for the root node is urn:ebxml:cs:myroot. The following query identifies all nodes at the second level under “myroot” (i.e. third level overall).

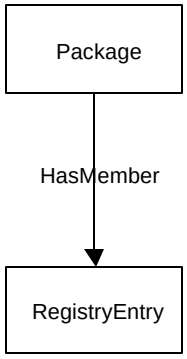
```
<ClassificationNodeQuery>
   <HasParentNode>
      <HasParentNode>
         <ClassificationNodeFilter>
            ID EQ “urn:ebxml:cs:myroot”   -- code by Clause, Section 8.2.10
         </ClassificationNodeFilter>
      </HasParentNode>
   </HasParentNode>
</ClassificationNodeQuery>
```

8.2.5 RegistryPackageQuery

Purpose

To identify a set of registry package instances as the result of a query over selected registry metadata.

RIM Binding



Definition

```
<!ELEMENT RegistryPackageQuery
  (   PackageFilter?,
      PackageHasMember*     )>

<!ELEMENT PackageHasMember
  (   RegistryEntryQuery?,   )>
```

Semantic Rules

1. Let RP denote the set of all persistent Package instances in the Registry. The following steps will eliminate instances in RP that do not satisfy the conditions of the specified filters.
   a) If a PackageFilter is not specified, or if RP is empty, then continue below; otherwise, let x be a package instance in RP. If x does not satisfy the PackageFilter as defined in section 8.2.9, then remove x from RP.
   b) If a PackageHasMember element is not directly contained in the RegistryPackageQuery, or if RP is empty, then continue below; otherwise, let x be a remaining package instance in RP. If x is an empty package, then remove x from RP; otherwise, treat each PackageHasMember element separately as follows:

If a RegistryEntryQuery element is not directly contained in the PackageHasMember element, then let PM be the set of all RegistryEntry instances that are members of the package x; otherwise, let RE be the set of RegistryEntry instances returned by the RegistryEntryQuery as defined in section 8.2.2 and let PM be the subset of RE that are members of the package x. If PM is empty, then remove x from RP.

2. If RP is empty, then raise the warning: *registry package query result is empty*.
3. Return RP as the result of the RegistryPackageQuery.
4. Return any accumulated warnings or exceptions as the StatusResult associated with the RegistryPackageQuery.

Examples

A client application wishes to identify all package instances in the Registry that contain an Invoice extrinsic object as a member of the package.

```
<RegistryPackageQuery>
   <PackageHasMember>
      <RegistryEntryQuery>
         <RegistryEntryFilter>
            objectType EQ “Invoice”      -- code by Clause, Section 8.2.10
         </RegistryEntryFilter>
      </RegistryEntryQuery>
   </PackageHasMember>
</RegistryPackageQuery>
```

A client application wishes to identify all package instances in the Registry that are not empty.

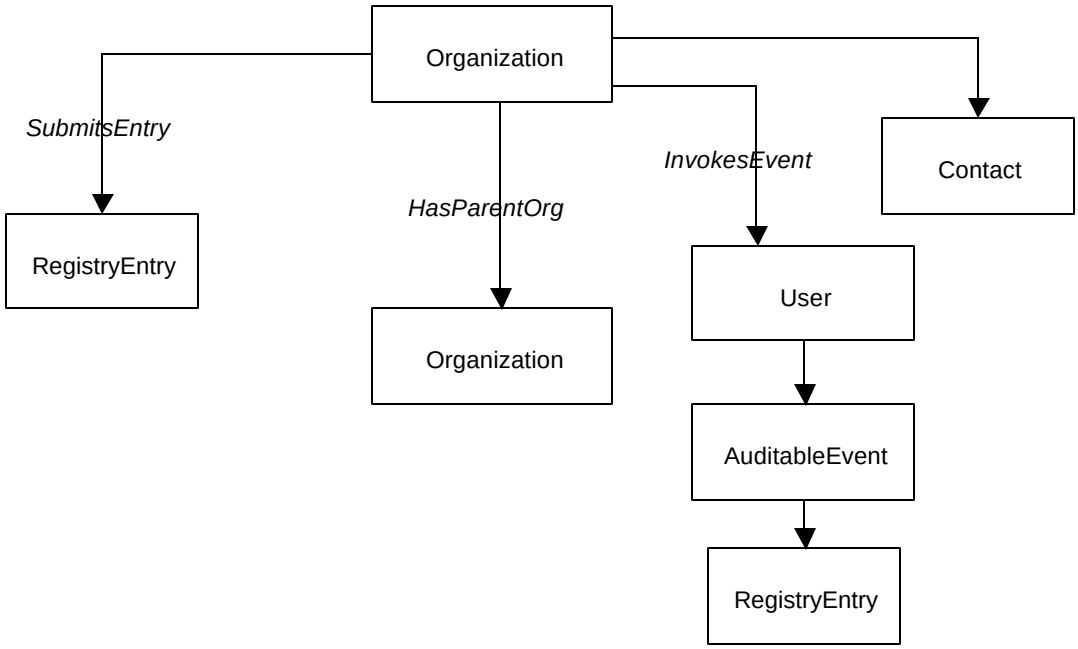
```
<RegistryEntryQuery>
   <PackageHasMember/>
</RegistryEntryQuery>
```

A client application wishes to identify all package instances in the Registry that are empty. Since the RegistryPackageQuery is not set up to do negations, clients will have to do two separate RegistryPackageQuery requests, one to find all packages and another to find all non-empty packages, and then do the set difference themselves. Alternatively, they could do a more complex RegistryEntryQuery and check that the packaging association between the package and its members is non-existent.

Note: A registry package is an intrinsic RegistryEntry instance that is completely determined by its associations with its members. Thus a RegistryPackageQuery can always be re-specified as an equivalent RegistryEntryQuery using appropriate “AsSource” and “As Target” associations. However, the equivalent RegistryEntryQuery is often more complicated to write.

8.2.6 OrganizationQuery

Purpose



To identify a set of organization instances as the result of a query over selected registry metadata.

RIM Binding

Definition

```
<!ELEMENT OrganizationQuery
  (   OrganizationFilter?,
      SubmitsEntry*,
      HasParentOrganization?,
      InvokesEvent*,
      ContactFilter*                    )>

<!ELEMENT SubmitsEntry ( RegistryEntryQuery? )>

<!ELEMENT HasParentOrganization
  (   OrganizationFilter?,
      HasParentOrganization?            )>

<!ELEMENT InvokesEvent
  (   UserFilter?,
      AuditableEventFilter?,
```

```
        RegistryEntryQuery?             )>
```

Semantic Rules

1. Let ORG denote the set of all persistent Organization instances in the Registry. The following steps will eliminate instances in ORG that do not satisfy the conditions of the specified filters.

   a) If an OrganizationFilter element is not directly contained in the OrganizationQuery element, or if ORG is empty, then continue below; otherwise, let x be an organization instance in ORG. If x does not satisfy the OrganizationFilter as defined in section 8.2.9, then remove x from RP.

   b) If a SubmitsEntry element is not specified within the OrganizationQuery, or if ORG is empty, then continue below; otherwise, consider each SubmitsEntry element separately as follows:

      If no RegistryEntryQuery is specified within the SubmitsEntry element, then let RES be the set of all RegistryEntry instances that have been submitted to the Registry by organization x; otherwise, let RE be the result of the RegistryEntryQuery as defined in section 8.2.2 and let RES be the set of all instances in RE that have been submitted to the Registry by organization x. If RES is empty, then remove x from ORG.

   c) If a HasParentOrganization element is not specified within the OrganizationQuery, or if ORG is empty, then continue below; otherwise, execute the following paragraph with o = x:

      Let o be an organization instance. If an OrganizationFilter is not specified within the HasParentOrganization and if o has no parent (i.e. if o is a root organization in the Organization hierarchy), then remove x from ORG; otherwise, let p be the parent organization of o. If p does not satisfy the OrganizationFilter, then remove x from ORG.

      If another HasParentOrganization element is directly contained within this HasParentOrganization element, then repeat the previous paragraph with o = p.

   d) If an InvokesEvent element is not specified within the OrganizationQuery, or if ORG is empty, then continue below; otherwise, consider each InvokesEvent element separately as follows:

If an UserFilter is not specified, and if x is not the submitting organization of some AuditableEvent instance, then remove x from ORG. If an AuditableEventFilter is not specified, then let AE be the set of all AuditableEvent instances that have x as the submitting organization; otherwise, let AE be the set of AuditableEvent instances that satisfy the AuditableEventFilter and have x as the submitting organization. If AE is empty, then remove x from ORG. If a RegistryEntryQuery is not specified in the InvokesEvent element, then let RES be the set of all RegistryEntry instances associated with an event in AE; otherwise, let RE be the result set of the RegistryEntryQuery, as specified in section 8.2.2, and let RES be the subset of RE of entries submitted by x. If RES is empty, then remove x from ORG.

e) If a ContactFilter is not specified within the OrganizationQuery, or if ORG is empty, then continue below; otherwise, consider each ContactFilter separately as follows:

Let CT be the set of Contact instances that satisfy the ContactFilter and are the contacts for organization x. If CT is empty, then remove x from ORG.

2. If ORG is empty, then raise the warning: *organization query result is empty*.
3. Return ORG as the result of the OrganizationQuery.
4. Return any accumulated warnings or exceptions as the StatusResult associated with the OrganizationQuery.

Examples

A client application wishes to identify a set of organizations, based in France, that have submitted a PartyProfile extrinsic object this year.

```
<OrganizationQuery>
   <OrganizationFilter>
      country EQ “France”          -- code by Clause, Section 8.2.10
   </OrganizationFilter>
   <SubmitsEntry>
      <RegistryEntryQuery>
         <RegistryEntryFilter>
            objectType EQ “PartyProfile”   -- code by Clause, Section 8.2.10
         </RegistryEntryFilter>
         <RegistryEntryAuditableEvent>
            <AuditableEventFilter>
               timestamp GE “2001-01-01”   -- code by Clause, Section 8.2.10
            </AuditableEventFilter>
         </RegistryEntryAuditableEvent>
      </RegistryEntryQuery>
   </SubmitsEntry>
</OrganizationQuery>
```

A client application wishes to identify all organizations that have XYZ, Corporation as a parent. The client knows that the URN for XYZ, Corp. is urn:ebxml:org:xyz, but there is no guarantee that subsidiaries of XYZ have a URN that uses the same format, so a full query is required.

```
<OrganizationQuery>
   <HasParentOrganization>
      <OrganizationFilter>
         ID = “urn:ebxml:org:xyz”     -- code by Clause, Section 8.2.10
      </OrganizationFilter>
   </HasParentOrganization>
</OrganizationQuery>
```

8.2.7 GetRegistryEntry

Purpose

To construct an XML document that contains selected registry metadata associated with the registry entries identified by a RegistryEntryQuery. NOTE: Initially, the RegistryEntryQuery could be the URN identifier for a single registry entry.

Definition

```
<!ELEMENT GetRegistryEntry
  (   RegistryEntryQuery,
      WithClassifications?,
      WithAsSourceAssociations?,
      WithAsTargetAssociations?,
      WithAuditableEvents?,
      WithExternalLinks?              )>

<!ELEMENT WithClassifications  ( ClassificationFilter? )>
<!ELEMENT WithAsSourceAssociations  ( AssociationFilter? )>
<!ELEMENT WithAsTargetAssociations  ( AssociationFilter? )>
<!ELEMENT WithAuditableEvents  ( AuditableEventFilter? )>
<!ELEMENT WithExternalLinks  ( ExternalLinkFilter? )>

<!ELEMENT GetRegistryEntryResult
   (  RegistryEntryMetadata*,  StatusResult )>

<!ELEMENT RegistryEntryMetadata
    ( RegistryEntry,
      Classification*,
      AsSourceAssociations?,
      AsTargetAssociations?,
      AuditableEvent*,
      ExternalLink*                       )>

<!ELEMENT AsSourceAssociations ( Association* )>
<!ELEMENT AsTargetAssociations ( Association* )>
```

Semantic Rules

1. The RegistryEntry, Classification, Association, AuditableEvent, and ExternalLink elements contained in the GetRegistryEntryResult are defined by the ebXML Registry DTD specified in Appendix A.2.
2. Execute the RegistryEntryQuery according to the Semantic Rules specified in section 8.2.2, and let R be the result set of identifiers for registry entry instances. Let S be the set of status elements returned in the StatusResult. If any status element in S is an exception condition, then stop execution and return the same StatusResult element in the GetRegistryEntryResult.

3. If the set R is empty, then do not return a RegistryEntryMetadata subelement in the GetRegistryEntryResult. Instead, raise the warning: *no resulting registry entry*. Add this warning to the StatusResult returned by the RegistryEntryQuery and return this enhanced StatusResult with the GetRegistryEntryResult.
4. For each registry entry E referenced by an element of R, use the attributes of E to create a new RegistryEntry element as defined in Appendix A.2. Then create a new RegistryEntryMetadata element as defined above to be the parent element of that RegistryEntry element.
5. If no With option is specified, then the resulting RegistryEntryMetadata element has no Classification, AsSourceAssociations, AsTargetAssociations, AuditableEvent, or ExternalData subelements. The set of RegistryEntryMetadata elements, with the StatusResult from the RegistryEntryQuery, is returned as the GetRegistryEntryResult.
6. If WithClassifications is specified, then for each E in R do the following: If a ClassificationFilter is not present, then let C be any classification instance linked to E; otherwise, let C be a classification instance linked to E that satisfies the ClassificationFilter (Section 8.2.9). For each such C, create a new Classification element as defined in Appendix A.2. Add these Classification elements to their parent RegistryEntryMetadata element.
7. If WithAsSourceAssociations is specified, then for each E in R do the following: If an AssociationFilter is not present, then let A be any association instance whose source object is E; otherwise, let A be an association instance that satisfies the AssociationFilter (Section 8.2.9) and whose source object is E. For each such A, create a new Association element as defined in Appendix A.2. Add these Association elements as subelements of the WithAsSourceAssociations and add that element to its parent RegistryEntryMetadata element.
8. If WithAsTargetAssociations is specified, then for each E in R do the following: If an AssociationFilter is not present, then let A be any association instance whose target object is E; otherwise, let A be an association instance that satisfies the AssociationFilter (Section 8.2.9) and whose target object is E. For each such A, create a new Association element as defined in Appendix A.2. Add these Association elements as subelements of the WithAsTargetAssociations and add that element to its parent RegistryEntryMetadata element.
9. If WithAuditableEvents is specified, then for each E in R do the following: If an AuditableEventFilter is not present, then let A be any auditable event instance linked to E; otherwise, let A be any auditable event instance linked to E that satisfies the AuditableEventFilter (Section 8.2.9). For each such A, create a new AuditableEvent element as defined in Appendix A.2. Add these AuditableEvent elements to their parent RegistryEntryMetadata element.

10. If WithExternalLinks is specified, then for each E in R do the following: If an ExternalLinkFilter is not present, then let L be any external link instance linked to E; otherwise, let L be any external link instance linked to E that satisfies the ExternalLinkFilter (Section 8.2.9). For each such D, create a new ExternalLink element as defined in Appendix A.2. Add these ExternalLink elements to their parent RegistryEntryMetadata element.
11. If any warning or exception condition results, then add the code and the message to the StatusResult that came from the RegistryEntryQuery result.
12. Return the set of RegistryEntryMetadata elements and the revised StatusResult as the content of the GetRegistryEntryResult.

Examples

A customer of XYZ Corporation has been using a PurchaseOrder DTD registered by XYZ some time ago. Its URN identifier is "urn:com:xyz:po:325". The customer wishes to check on the current status of that DTD, especially if it has been superceded or replaced, and get all of its current classifications. The following query request will return an XML document with the registry entry for the existing DTD as the root, with all of its classifications, and with associations to registry entries for any items that have superceded or replaced it.

```
<GetRegistryEntry>
   <RegistryEntryQuery>
      <RegistryEntryFilter>
         ID EQ "urn:com:xyz:po:325"          -- code by Clause, Section 8.2.10
      </RegistryEntryFilter>
   </RegistryEntryQuery>
   <WithClassifications/>
   <WithAsSourceAssociations>
      <AssociationFilter>                    -- code by Clause, Section 8.2.10
         AssociationType EQUALS "SupercededBy" OR
         AssociationType EQUALS "ReplacedBy"
      </AssociationFilter>
   </WithAsSourceAssociations>
</GetRegistryEntry>
```

A client of the Registry registered an XML DTD several years ago and is now thinking of replacing it with a revised version. The identifier for the existing DTD is "urn:xyz:dtd:po97". The proposed revision is not completely upward compatible with the existing DTD. The client desires a list of all registered items that use the existing DTD so they can assess the impact of an incompatible change. The following query returns an XML document that is a list of all RegistryEntry elements that represent registered items that use, contain, or extend the given DTD. The document also links each RegistryEntry element in the list to an element for the identified association.

```
<GetRegistryEntry>
   <RegistryEntryQuery>
      <AsSourceAssociation>
         <AssociationFilter>             -- code by Clause, Section 8.2.10
            AssociationType EQ "Contains" OR
            AssociationType EQ "Uses" OR
            AssociationType EQ "Extends"
         </AssociationFilter>
         <RegistryEntryFilter>           -- code by Clause, Section 8.2.10
            ID = "urn:xyz:dtd:po97"
         </RegistryEntryFilter>
      </AsSourceAssociation>
   </RegistryEntryQuery>
   <WithAsSourceAssociations>
      <AssociationFilter>                -- code by Clause, Section 8.2.10
         AssociationType EQ "Contains" OR
         AssociationType EQ "Uses" OR
         AssociationType EQ "Extends"
      </AssociationFilter>
   </WithAsSourceAssociations>
</GetRegistryEntry>
```

A user has been browsing the registry and has found a registry entry that describes a package of core-components that should solve the user's problem. The package URN identifier is "urn:com:cc:pkg:ccstuff". Now the user wants to know what's in the package. The following query returns an XML document with a registry entry for each member of the package along with that member's Uses and PackageHasMember associations.

```
<GetRegistryEntry>
   <RegistryEntryQuery>
      <AsTargetAssociation>
         <AssociationFilter>           -- code by Clause, Section 8.2.10
             AssociationType EQ "HasMember"
         </AssociationFilter>
         <RegistryEntryFilter>         -- code by Clause, Section 8.2.10
            ID = " urn:com:cc:pkg:ccstuff "
         </RegistryEntryFilter>
      </AsTargetAssociation>
   </RegistryEntryQuery>
   <WithAsSourceAssociations>
      <AssociationFilter>              -- code by Clause, Section 8.2.10
         AssociationType EQ "HasMember" OR
         AssociationType EQ "Uses"
      </AssociationFilter>
   </WithAsSourceAssociations>
</GetRegistryEntry>
```

8.2.8 GetRepositoryItem

Purpose

To construct an XML document that contains one or more repository items, and some associated metadata, by submitting a RegistryEntryQuery to the registry/repository that holds the desired objects. NOTE: Initially, the RegistryEntryQuery could be the URN identifier for a single registry entry.

Definition

```
<!ELEMENT GetRepositoryItem
(  RegistryEntryQuery,
   RecursiveAssociationOption?,
   WithShortDescription?              )>

<!ELEMENT RecursiveAssociationOption ( AssociationRole+ )>
<!ATTLIST RecursiveAssociationOption
      depthLimit   CDATA   #IMPLIED   >

<!ELEMENT AssociationRole EMPTY >
<!ATTLIST AssociationRole
      role   CDATA   #REQUIRED  >

<!ELEMENT WithShortDescription EMPTY >

<!ELEMENT GetRepositoryItemResult
   ( RepositoryItem*,  StatusResult  )>

<!ELEMENT RepositoryItem
   (    ClassificationScheme
      | RegistryPackage
      | ExtrinsicObject
      | WithdrawnObject
      | ExternalItem      )>
<!ATTLIST RepositoryItem
   identifier      CDATA   #REQUIRED
   name            CDATA   #REQUIRED
   repositoryURL   CDATA   #REQUIRED
   objectType      CDATA   #REQUIRED
   status          CDATA   #REQUIRED
   stability       CDATA   #REQUIRED
   description     CDATA   #IMPLIED   >

<!ELEMENT ExtrinsicObject  (#PCDATA) >
<!ATTLIST ExtrinsicObject
   byteEncoding    CDATA    "Base64"  >

<!ELEMENT WithdrawnObject EMPTY >

<!ELEMENT ExternalItem EMPTY >
```

Semantic Rules

1. If the RecursiveOption element is not present , then set Limit=0. If the RecursiveOption element is present, interpret its depthLimit attribute as an integer literal. If the depthLimit attribute is not present, then set Limit = -1. A Limit of 0 means that no recursion occurs. A Limit of -1 means that recursion occurs indefinitely. If a depthLimit value is present, but it cannot be interpreted as a positive integer, then stop execution and raise the exception: *invalid depth limit*; otherwise, set Limit=N, where N is that positive integer. A Limit of N means that exactly N recursive steps will be executed unless the process terminates prior to that limit.
2. Set Depth=0. Let Result denote the set of RepositoryItem elements to be returned as part of the GetRepositoryItemResult. Initially Result is empty. Semantic rules 4 through 10 determine the content of Result.
3. If the WithShortDescription element is present, then set WSD="yes"; otherwise, set WSD="no".
4. Execute the RegistryEntryQuery according to the Semantic Rules specified in section 8.2.2, and let R be the result set of identifiers for registry entry instances. Let S be the set of status elements returned in the StatusResult. If any status element in S is an exception condition, then stop execution and return the same StatusResult element in the GetRepositoryItemResult.
5. Execute Semantic Rules 6 and 7 with X as a set of registry references derived from R. After execution of these rules, if Depth is now equal to Limit, then return the content of Result as the set of RepositoryItem elements in the GetRepositoryItemResult element; otherwise, continue with Semantic Rule 8.
6. Let X be a set of RegistryEntry instances. For each registry entry E in X, do the following:
    a) If E.repositoryURL references a repository item in this registry/repository, then create a new RepositoryItem element, with values for its attributes derived as specified in Semantic Rule 7.
        1) If E.objectType="ClassificationScheme", then put the referenced ClassificationScheme DTD as the subelement of this RepositoryItem. [NOTE: Requires DTD specification!]
        2) If E.objectType="RegistryPackage", then put the referenced RegistryPackage DTD as the subelement of this RepositoryItem. [NOTE: Requires DTD specification!]
        3) Otherwise, i.e., if the object referenced by E has an unknown internal structure, then put the content of the repository item as the #PCDATA of a new ExtrinsicObject subelement of this RepositoryItem.

b) If E.objectURL references a registered object in some other registry/repository, then create a new RepositoryItem element, with values for its attributes derived as specified in Semantic Rule 7, and create a new ExternalItem element as the subelement of this RepositoryItem.

c) If E.objectURL is void, i.e. the object it would have referenced has been withdrawn, then create a new RepositoryItem element, with values for its attributes derived as specified in Semantic Rule 7, and create a new WithdrawnObject element as the subelement of this RepositoryItem.

7. Let E be a registry entry and let RO be the RepositoryItem element created in Semantic Rule 6. Set the attributes of RO to the values derived from the corresponding attributes of E. If WSD="yes", include the value of the description attribute; otherwise, do not include it. Insert this new RepositoryItem element into the Result set.

8. Let R be defined as in Semantic Rule 4. Execute Semantic Rule 9 with Y as the set of RegistryEntry instances referenced by R. Then continue with Semantic rule 10.

9. Let Y be a set of references to RegistryEntry instances. Let NextLevel be an empty set of RegistryEntry instances. For each registry entry E in Y, and for each AssociationRole A of the RecursiveAssociationOption, do the following:

a) Let Z be the set of target items E' linked to E under association instances having E as the source object, E' as the target object, and A as the AssociationType.

b) Add the elements of Z to NextLevel.

10. Let X be the set of new registry entries that are in NextLevel but are not yet represented in the Result set.

Case:

a) If X is empty, then return the content of Result as the set of RepositoryItem elements in the GetRepositoryItemResult element.

b) If X is not empty, then execute Semantic Rules 6 and 7 with X as the input set. When finished, add the elements of X to Y and set Depth=Depth+1. If Depth is now equal to Limit, then return the content of Result as the set of RepositoryItem elements in the GetRepositoryItemResult element; otherwise, repeat Semantic Rules 9 and 10 with the new set Y of registry entries.

11. If any exception, warning , or other status condition results during the execution of the above, then return appropriate status elements as the StatusResult of the GetRepositoryItemResult element created in Semantic Rule 5 or Semantic Rule 10.

Examples

A registry client has found a registry entry for a core-component item. The item's URN identity is "urn:ebxml:cc:goodthing". But "goodthing" is a composite item that uses many other registered items. The client desires the collection of all items needed for a complete implementation of "goodthing". The following query returns an XML document that is a collection of all needed items.

```
<GetRepositoryItem>
   <RegistryEntryQuery>
      <RegistryEntryFilter>              -- code by Clause, Section 8.2.10
         ID EQ "urn:ebxml:cc:goodthing"
      </RegistryEntryFilter>
   </RegistryEntryQuery>
   <RecursiveAssociationOption>
      <AssociationRole  role="Uses"  />
      <AssociationRole  role="ValidatesTo"  />
   </RecursiveAssociationOption>
</GetRepositoryItem>
```

A registry client has found a reference to a core-component routine ("urn:ebxml:cc:rtn:nice87") that implements a given business process. The client knows that all routines have a required association to its defining UML specification. The following query returns both the routine and its UML specification as a collection of two items in a single XML document.

```
<GetRepositoryItem>
   <RegistryEntryQuery>
      <RegistryEntryFilter>              -- code by Clause, Section 8.2.10
         ID EQ "urn:ebxml:cc:rtn:nice87"
      </RegistryEntryFilter>
   </RegistryEntryQuery>
   <RecursiveAssociationOption   depthLimit="1" >
      <AssociationRole  role="ValidatesTo"  />
   </RecursiveAssociationOption>
</GetRepositoryItem>
```

A user has been told that the 1997 version of the North American Industry Classification System (NAICS) is stored in the NIST registry with URN identifier "urn:nist:cs:naics-1997". The following query would retrieve the complete classification scheme, with all 1810 nodes, as an XML document that validates to a classification scheme DTD.

```
<GetRepositoryItem>
   <RegistryEntryQuery>
      <RegistryEntryFilter>              --  code by Clause, Section 8.2.10
```

```
            ID EQ " urn:nist:cs:naics-1997"
          </RegistryEntryFilter>
        </RegistryEntryQuery>
    </GetRepositoryItem>
```

Note: The GetRepositoryItemResult would include a single RepositoryItem that consists of the ClassificationScheme document with content: ftp://xsun.sdct.itl.nist.gov/regrep/scheme/naics.txt

8.2.9 Registry Filters

Purpose

To identify a subset of the set of all persistent instances of a given registry class.

Definition

```
<!ELEMENT ObjectFilter ( Clause )>

<!ELEMENT RegistryEntryFilter ( Clause )>

<!ELEMENT IntrinsicObjectFilter ( Clause )>

<!ELEMENT ExtrinsicObjectFilter ( Clause )>

<!ELEMENT PackageFilter ( Clause )>

<!ELEMENT OrganizationFilter ( Clause )>

<!ELEMENT ContactFilter ( Clause )>

<!ELEMENT ClassificationNodeFilter ( Clause )>

<!ELEMENT AssociationFilter ( Clause )>

<!ELEMENT ClassificationFilter ( Clause )>

<!ELEMENT ExternalLinkFilter ( Clause )>

<!ELEMENT AuditableEventFilter ( Clause )>

<!ELEMENT UserFilter ( Clause )>
```

Semantic Rules

1. The Clause element is defined in section 8.2.10, Clause.
2. For every ObjectFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the Object UML class defined in [RIM]. If not, raise exception: *object attribute error*. The ObjectFilter returns a set of identifiers for Object instances whose attribute values evaluate to *True* for the Clause predicate.
3. For every RegistryEntryFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the RegistryEntry UML class defined in [RIM].

If not, raise exception: *registry entry attribute error*. The RegistryEntryFilter returns a set of identifiers for RegistryEntry instances whose attribute values evaluate to *True* for the Clause predicate.

4. For every IntrinsicObjectFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the IntrinsicObject UML class defined in [RIM]. If not, raise exception: *intrinsic object attribute error*. The IntrinsicObjectFilter returns a set of identifiers for IntrinsicObject instances whose attribute values evaluate to *True* for the Clause predicate.
5. For every ExtrinsicObjectFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the ExtrinsicObject UML class defined in [RIM]. If not, raise exception: *extrinsic object attribute error*. The ExtrinsicObjectFilter returns a set of identifiers for ExtrinsicObject instances whose attribute values evaluate to *True* for the Clause predicate.
6. For every PackageFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the Package UML class defined in [RIM]. If not, raise exception: *package attribute error*. The PackageFilter returns a set of identifiers for Package instances whose attribute values evaluate to *True* for the Clause predicate.
7. For every OrganizationFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the Organization or PostalAddress UML classes defined in [RIM]. If not, raise exception: *organization attribute error*. The OrganizationFilter returns a set of identifiers for Organization instances whose attribute values evaluate to *True* for the Clause predicate.
8. For every ContactFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the Contact or PostalAddress UML class defined in [RIM]. If not, raise exception: *contact attribute error*. The ContactFilter returns a set of identifiers for Contact instances whose attribute values evaluate to *True* for the Clause predicate.
9. For every ClassificationNodeFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the ClassificationNode UML class defined in [RIM]. If not, raise exception: *classification node attribute error*. The ClassificationNodeFilter returns a set of identifiers for ClassificationNode instances whose attribute values evaluate to *True* for the Clause predicate.
10. For every AssociationFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the Association UML class defined in [RIM]. If not, raise exception: *association attribute error*. The AssociationFilter returns a set of identifiers for Association instances whose attribute values evaluate to *True* for the Clause predicate.

11. For every ClassificationFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the Classification UML class defined in [RIM]. If not, raise exception: *classification attribute error*. The ClassificationFilter returns a set of identifiers for Classification instances whose attribute values evaluate to *True* for the Clause predicate.
12. For every ExternalLinkFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the ExternalLink UML class defined in [RIM]. If not, raise exception: *external link attribute error*. The ExternalLinkFilter returns a set of identifiers for ExternalLink instances whose attribute values evaluate to *True* for the Clause predicate.
13. For every AuditableEventFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the AuditableEvent UML class defined in [RIM]. If not, raise exception: *auditable event attribute error*. The AuditableEventFilter returns a set of identifiers for AuditableEvent instances whose attribute values evaluate to *True* for the Clause predicate.
14. For every UserFilter XML element, the leftargument attribute of any containing SimpleClause shall identify a public attribute of the User UML class defined in [RIM]. If not, raise exception: *auditable identity attribute error*. The UserFilter returns a set of identifiers for User instances whose attribute values evaluate to *True* for the Clause predicate.

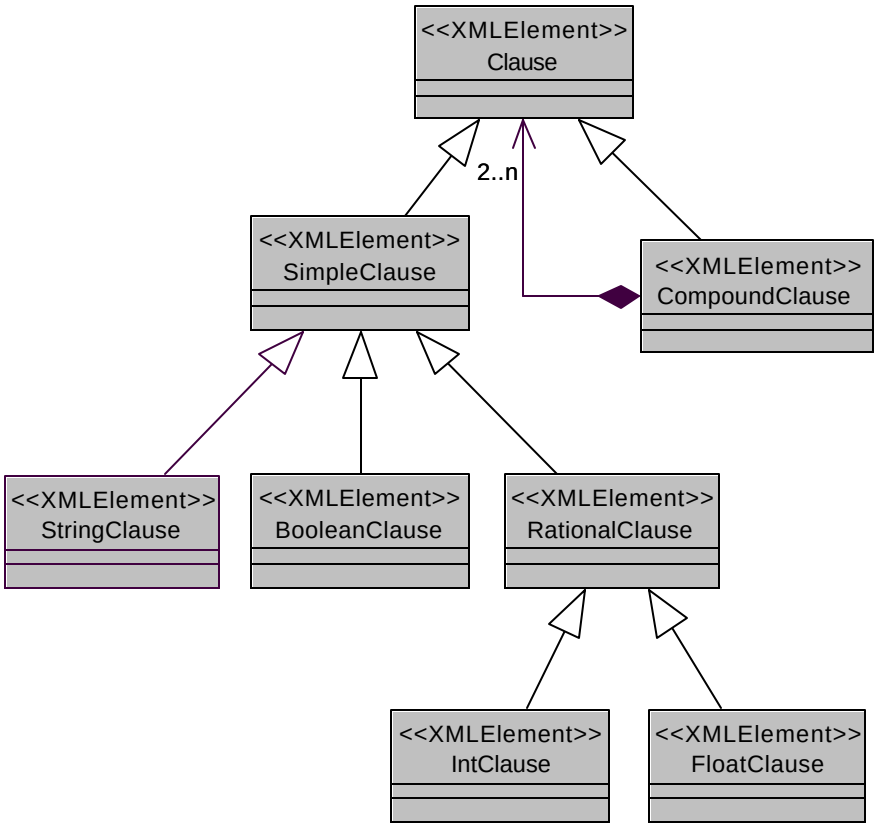
8.2.10 XML Clause Constraint Representation

Purpose

The simple XML FilterQuery utilizes a formal XML structure based on *Predicate Clauses*. Predicate Clauses are utilized to formally define the constraint mechanism, and are referred to simply as ***Clauses*** in this specification.

Conceptual UML Diagram

The following is a conceptual diagram outlining the Clause base structure. It is expressed in UML for visual depiction.



Semantic Rules

Predicates and *Arguments* are combined into a "LeftArgument - Predicate - RightArgument" format to form a *Clause*. There are two types of Clauses: *SimpleClauses* and *CompoundClauses*.

SimpleClauses

A SimpleClause always defines the left argument as a text string, sometimes referred to as the *Subject* of the Clause. SimpleClause itself is incomplete (abstract) and must be extended. SimpleClause is extended to support BooleanClause, StringClause, and RationalClause (abstract).

BooleanClause implicitly defines the predicate as ‘equal to’, with the right argument as a boolean. StringClause defines the predicate as an enumerated attribute of appropriate string-compare operations and a right argument as the element’s text data. Rational number support is provided through a common RationalClause providing an enumeration of appropriate rational number compare operations, which is further extended to IntClause and FloatClause, each with appropriate signatures for the right argument.

CompoundClauses

A CompoundClause contains two or more Clauses (Simple or Compound) and a connective predicate. This provides for arbitrarily complex Clauses to be formed.

Definition

```
<!ELEMENT Clause ( SimpleClause | CompoundClause )>

<!ELEMENT Simpleclause
   ( BooleanClause | RationalClause | StringClause )>
<!ATTLIST SimpleClause leftargument  CDATA #REQUIRED >

<!ELEMENT CompoundClause ( Clause, Clause+ )>
<!ATTLIST CompoundClause connectivepredicate ( And | Or ) #REQUIRED>

<!ELEMENT BooleanClause EMPTY >
<!ATTLIST BooleanClause booleanpredicate ( True | False ) #REQUIRED>

<!ELEMENT RationalClause ( IntClause | FloatClause )>
<!ATTLIST RationalClause logicalpredicate
   ( LE | LT | GE | GT | EQ | NE ) #REQUIRED >

<!ELEMENT IntClause ( #PCDATA )
<!ATTLIST IntClause e-dtype NMTOKEN #FIXED 'int' >

<!ELEMENT FloatClause ( #PCDATA )>
<!ATTLIST FloatClause e-dtype NMTOKEN #FIXED 'float' >

<!ELEMENT StringClause ( #PCDATA )>
```

```
<!ATTLIST StringClause stringpredicate
   ( contains | -contains | startswith |
    -startswith | endswith | -endswith ) #REQUIRED >
```

Examples

Simple BooleanClause: "Smoker" = True

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE Clause SYSTEM "Clause.dtd" >
<Clause>
  <SimpleClause leftargument="Smoker">
    <BooleanClause booleanpredicate="True"/>
  </SimpleClause>
</Clause>
```

Simple StringClause: "Smoker" contains "mo"

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE Clause SYSTEM "Clause.dtd" >
<Clause>
  <SimpleClause leftargument="Smoker">
    <StringClause stringcomparepredicate="contains">
      mo
    </StringClause>
  </SimpleClause>
</Clause>
```

Simple IntClause: "Age" >= 7

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE Clause SYSTEM "Clause.dtd" >
<Clause>
  <SimpleClause leftargument="Age">
    <RationalClause logicalpredicate="GE">
      <IntClause e-dtype="int">7</IntClause>
    </RationalClause>
  </SimpleClause>
</Clause>
```

Simple FloatClause: "Size" = 4.3

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE Clause SYSTEM "Clause.dtd" >
<Clause>
  <SimpleClause leftargument="Size">
    <RationalClause logicalpredicate="E">
```

```
          <FloatClause e-dtype="float">4.3</FloatClause>
        </RationalClause>
      </SimpleClause>
    </Clause>
```

Compound with two Simples (("Smoker" = False)AND("Age" =< 45))

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE Clause SYSTEM "Clause.dtd" >
<Clause>
  <CompoundClause connectivepredicate="And">
    <Clause>
      <SimpleClause leftargument="Smoker">
        <BooleanClause booleanpredicate="False"/>
      </SimpleClause>
    </Clause>
    <Clause>
      <SimpleClause leftargument="Age">
        <RationalClause logicalpredicate="EL">
          <IntClause e-dtype="int">45</IntClause>
        </RationalClause>
      </SimpleClause>
    </Clause>
  </CompoundClause>
</Clause>
```

Coumpound with one Simple and one Compound

(("Smoker" = False)And(("Age" =< 45)Or("American"=True)))

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE Clause SYSTEM "Clause.dtd" >
<Clause>
  <CompoundClause connectivepredicate="And">
    <Clause>
      <SimpleClause leftargument="Smoker">
        <BooleanClause booleanpredicate="False"/>
      </SimpleClause>
    </Clause>
    <Clause>
      <CompoundClause connectivepredicate="Or">
        <Clause>
          <SimpleClause leftargument="Age">
            <RationalClause logicalpredicate="EL">
              <IntClause e-dtype="int">45</IntClause>
            </RationalClause>
          </SimpleClause>
        </Clause>
        <Clause>
          <SimpleClause leftargument="American">
```

```
                <BooleanClause booleanpredicate="True"/>
              </SimpleClause>
            </Clause>
          </CompoundClause>
        </Clause>
      </CompoundClause>
    </Clause>
```

8.3 SQL Query Support

The Registry may optionally support an SQL based query capability that is designed for Registry clients that demand more complex query capability. The optional SQLQuery element in the AdhocQueryRequest allows a client to submit complex SQL queries using a declarative query language.

The syntax for the SQLQuery of the Registry is defined by a stylized use of a proper subset of the "SELECT" statement of Entry level SQL defined by ISO/IEC 9075:1992, Database Language SQL [SQL], extended to include `<sql invoked routines>` (also known as stored procedures) as specified in ISO/IEC 9075-4 [SQL-PSM] and pre-defined routines defined in template form in appendix C.3. The exact syntax of the Registry query language is defined by the BNF grammar in C.1.

Note that the use of a subset of SQL syntax for SQLQuery does not imply a requirement to use relational databases in a Registry implementation.

8.3.1 SQL Query Syntax Binding To [RIM]

SQL Queries are defined based upon the query syntax in in appendix C.1 and a fixed relational schema defined in appendix C.3. The relational schema is an algorithmic binding to [RIM] as described in the following sections.

8.3.1.1 Interface and Class Binding

A subset of the Interface and class names defined in [RIM] map to table names that may be queried by an SQL query. Appendix C.3 defines the names of the RIM interfaces and classes that may be queries by an SQL query.

The algorithm used to define the binding of [RIM] classes to table definitions in appendix C.3 is as follows:

- Only those classes and interfaces that have concrete instances are mapped to relational tables. This results in intermediate interfaces in the inheritance hierarchy, such as Object and IntrinsicObject to not map to SQL tables. An exception to this rule is RegistryEntry as defined next.

- A special view called RegistryEntry is defined to allow SQL queries to be made against RegistryEntry instances. This is the only interface defined in [RIM] that does not have concrete instances but is queryable by SQL queries.
- The names of relational tables are the same as the corresponding [RIM] class or interface name. However, the name binding is case insensitive.
- Each [RIM] class or interface that maps to a table in appendix C.3 includes column definitions in appendix C.3 where the column definitions are based on a subset of attributes defined for that class or interface in [RIM]. The attributes that map to columns include the inherited attributes for the [RIM] class or interface. Comments in appendix C.3 indicate which ancestor class or interface contributed which column definitions.

An SQLQuery against a table not defined in appendix C.3 may result in an ebXMLError message with an InvalidQueryException.

The algorithm for mapping of attributes to column definitions will be described in following sections.

8.3.1.2 Accessor Method To Attribute Binding

Most of the [RIM] interfaces methods are simple get methods that map directly to attributes. For example the getName method on Object maps to a *name* attribute of type String. Each get method in [RIM] defines the exact attribute name that it maps to in the interface definitions in [RIM].

8.3.1.3 Primitive Attributes Binding

Attributes defined by [RIM] that are of primitive types (e.g. String) may be used in the same way as column names in SQL. Again the exact attribute names are defined in the interface definitions in [RIM]. Note that while names are in mixed case, SQL-92 is case insensitive. It is therefore valid for a query to contain attribute names that do not exactly match the case defined in [RIM].

8.3.1.4 Reference Attribute Binding

A few of the [RIM] interface methods return references to instances of interfaces or classes defined by [RIM]. For example, the getAccessControlPolicy method of the Object class returns a reference to an instance of an AccessControlPolicy object.

In such cases the reference maps to the `id` attribute for the referenced object. The name of the resulting column is the same as the attribute name in [RIM] as defined by 8.3.1.3. The data type for the column is UUID as defined in appendix C.3.

When a reference attribute value holds a null reference it maps to a null value in the SQL binding which may be tested with the <null specification> as defined by [SQL].

Reference attribute binding is a special case of a primitive attribute mapping.

8.3.1.5 Complex Attribute Binding

A few of the [RIM] interfaces define attributes that are not primitive types. Instead they are of a complex type as defined by an entity class in [RIM]. Examples include attributes of type TelephoneNumber, Contact, PersonName etc. in interface Organization and class Contact.

The SQL query schema algorithmically maps such complex attributes as multiple primitive attributes within the parent table. The mapping simply flattens out the entity class attributes within the parent table. The attribute name for the flattened attributes are composed of a concatenation of attribute names in the refernce chain. For example Organization has a contact attribute of type Contact. Contact has an address attribute of type PostalAddress. PostalAddress has a String attribute named city. This city attribute will be named contact_address_city.

8.3.1.6 Collection Attribute Binding

A few of the [RIM] interface methods return collection of references to instances of interfaces or classes defined by [RIM]. For example, the getPackages method of the ManagedObject class returns a Collection of references to instances of Packages that the object is a member of.

Such collection attributes in [RIM] classes have been mapped to stored procedures in appendix C.3 such that these stored procedures return a collection of `id` attribute values. The returned value of these stored procedures can be treated as the result of a table sub-query in SQL.

These stored procedures may be used SQL IN clause to test for membership of an object in such collections of references.

8.3.2 Semantic Constraints On Query Syntax

This section defines simplifying constraints on the query syntax that cannot be expressed in the BNF for the query syntax. These constraints must be applied in the semantic analysis of the query.

1. Class names and attribute names must be processed in a case insensitive manner.

2. The syntax used for stored procedure invocation must be consistent with the syntax of an SQL procedure invocation as specified by ISO/IEC 9075-4 [SQL/PSM].

The SQL select column specified must always be t.`id` for this version of the specification, where t is a table reference in the FROM clause.

8.3.3 SQL Query Results

The results of an SQL query is always an ObjectRefList as defined by the AdHocQueryResponse in 8.3.12. This means the result of an SQL query is always a collection of references to instances of a sub-class of the Object interface in [RIM]. This is reflected in a semantic constraint that requires that the SQL select column specified must always be an `id` column in a table in appendix C.3 for this version of the specification.

8.3.4 Simple Metadata Based Queries

The simplest form of an SQL query is based upon metadata attributes specified for a single class within [RIM]. This section gives some examples of simple metadata based queries.

For example, to get the collection of ExtrinsicObjects whose name contains the word ‘Acme’ and that have a version greater than 1.3, the following query predicates must be supported:

```
SELECT id FROM ExtrinsicObject  WHERE name LIKE ‘%Acme%’ AND
       majorVersion >= 1 AND
       (majorVersion >= 2 OR  minorVersion > 3);
```

Note that the query syntax allows for conjugation of simpler predicates into more complex queries as shown in the simple example above.

8.3.5 RegistryEntry Queries

Given the central role played by the RegistryEntry interface in RIM, the schema for the SQL query defines a special view called RegistryEntry that allows doing a polymorphic query against all RegistryEntry instances regardless of their actual concrete type or table name.

The following example is the same as section 8.3.1.2 except that it is applied against all RegistryEntry instances rather than just ExtrinsicObject instances. The result set will include id for all qualifying RegistryEntry instances whose name contains the word ‘Acme’ and that have a version greater than 1.3.

```
SELECT id FROM RegistryEntry WHERE name LIKE ‘%Acme%’ AND
       majorVersion >= 1 AND
       (majorVersion >= 2 OR  re.minorVersion > 3);
```

8.3.6 Classification Queries

This section describes the various classification related queries that must be supported.

8.3.6.1 Identifying ClassificationNodes

Like all objects in [RIM], ClassificationNodes are identified by their ID. However, they may also be identified as a path attribute that specifies an xpath expression from a root classification node to the specified classification node in the XML document that would represent the ClassificationNode tree including the said ClassificationNode.

8.3.6.2 Getting Root Classification Nodes

To get the collection of root ClassificationNodes the following query predicate must be supported:

```
SELECT cn.id FROM ClassificationNode cn WHERE parent IS NULL
```

The above query returns all ClassificationNodes that have their parent attribute set to null. Note that the above query may also specify a predicate on the name if a specific root ClassificationNode is desired.

8.3.6.3 Getting Children of Specified ClassificationNode

To get the children of a ClassificationNode given the ID of that node the following style of query must be supported:

```
SELECT cn.id FROM ClassificationNode cn WHERE parent = <id>
```

The above query returns all ClassificationNodes that have the node specified by ID as their parent attribute.

8.3.6.4 Getting Objects Classified By a ClassificationNode

To get the collection of ExtrinsicObjects classified by specified ClassificationNodes the following style of query must be supported:

```
SELECT id FROM ExtrinsicObject
WHERE
   id IN (SELECT classifiedObject FROM Classification
         WHERE
              classificationNode IN (SELECT id FROM ClassificationNode
                                     WHERE path = '/Geography/Asia/Japan'))
  AND
   id IN (SELECT classifiedObject FROM Classification
         WHERE
              classificationNode IN (SELECT id FROM ClassificationNode
                                     WHERE path = '/Industry/Automotive'))
```

The above query gets the collection of ExtrinsicObjects that are classified by the Automotive Industry and the Japan Geography. Note that according to the semantics defined for GetClassifiedObjectsRequest, the query will also contain any objects that are classified by descendents of the specified ClassificationNodes.

8.3.6.5 Getting ClassificationNodes That Classify an Object

To get the collection of ClassificationNodes that classify a specified Object the following style of query must be supported:

```
SELECT id FROM ClassificationNode
       WHERE id IN (RegistryEntry_classificationNodes(<id>))
```

8.3.7 Association Queries

This section describes the various Association related queries that must be supported.

8.3.7.1 Getting All Association With Specified Object As Its Source

To get the collection of Associations that have the specified Object as its source, the following query must be supported:

```
SELECT id FROM Association WHERE sourceObject = <id>
```

8.3.7.2 Getting All Association With Specified Object As Its Target

To get the collection of Associations that have the specified Object as its target, the following query must be supported:

```
SELECT id FROM Association WHERE targetObject = <id>
```

8.3.7.3 Getting Associated Objects Based On Association Attributes

To get the collection of Associations that have specified Association attributes, the following queries must be supported:

Select Associations that have the specified name.

```
SELECT id FROM Association WHERE name = <name>
```

Select Associations that have the specified source role name.

```
SELECT id FROM Association  WHERE sourceRole = <roleName>
```

Select Associations that have the specified target role name.

```
SELECT id FROM Association WHERE targetRole = <roleName>
```

Select Associations that have the specified association type, where association type is a string containing the corresponding field name described in [RIM].

```
SELECT id FROM Association WHERE
       associationType = <associationType>
```

8.3.7.4 Complex Association Queries

The various forms of Association queries may be combined into complex predicates. The following query selects Associations from an object with a specified id, that have the sourceRole “buysFrom” and targetRole “sellsTo”:

```
SELECT id FROM Association WHERE
       sourceObject = <id> AND
       sourceRole = ‘buysFrom’ AND
       sourceRole = ‘sellsTo’
```

8.3.8 Package Queries

To find all Packages that a specified ExtrinsicObject belongs to, the following query is specified:

```
SELECT id FROM Package WHERE id IN (RegistryEntry_packages(<id>)
```

8.3.8.1 Complex Package Queries

The following query gets all Packages that a specified object belongs to, that are not deprecated and where name contains "RosettaNet."

```
SELECT id FROM Package WHERE
      id IN (RegistryEntry_packages(<id>)) AND
      name LIKE '%RosettaNet%' AND
      status <> 'DEPRECATED'
```

8.3.9 ExternalLink Queries

To find all ExternalLinks that a specified ExtrinsicObject is linked to, the following query is specified:

```
SELECT id From ExternalLink WHERE id IN (RegistryEntry_externalLinks(<id>)
```

To find all ExtrinsicObjects that are linked by a specified ExternalLink, the following query is specified:

```
SELECT id From ExtrinsicObject WHERE id IN (RegistryEntry_linkedObjects(<id>)
```

8.3.9.1 Complex ExternalLink Queries

The following query gets all ExternalLinks that a specified ExtrinsicObject belongs to, that contain the word 'legal' in their description and have a URL for their externalURI.

```
SELECT id FROM ExternalLink WHERE
      id IN (RegistryEntry_externalLinks(<id>)) AND
      description LIKE '%legal%' AND
      externalURI LIKE '%http://%'
```

8.3.10 Audit Trail Queries

To get the complete collection of AuditableEvent objects for a specified ManagedObject, the following query is specified:

```
SELECT id FROM AuditableEvent WHERE  registryEntry = <id>
```

8.3.11 Content Based Ad Hoc Queries

The Registry SQL query capability supports the ability to search for content based not only on metadata that catalogs the content but also the data contained within the content itself. For example it is possible for a client to submit a query that searches for all Collaboration Party Profiles that define a role named "seller" within a RoleName element in the CPP document itself.

Currently content-based query capability is restricted to XML content.

8.3.11.1 Automatic Classification of XML Content

Content-based queries are indirectly supported through the existing classification mechanism supported by the Registry.

A submitting organization may define logical indexes on any XML schema or DTD when it is submitted. An instance of such a logical index defines a link between a specific attribute or element node in an XML document tree and a ClassificationNode in a classification scheme within the registry.

The registry utilizes this index to automatically classify documents that are instances of the schema at the time the document instance is submitted. Such documents are classified according to the data contained within the document itself.

Such automatically classified content may subsequently be discovered by clients using the existing classification-based discovery mechanism of the Registry and the query facilities of the ObjectQueryManager.

[Note]This approach is conceptually similar to the way databases support indexed retrieval. DBAs define indexes on tables in the schema. When data is added to the table, the data gets automatically indexed.

8.3.11.2 Index Definition

This section describes how the logical indexes are defined in the SubmittedObject element defined in the Registry DTD. The complete Registry DTD is specified in Appendix A.2.

A SubmittedObject element for a schema or DTD may define a collection of ClassificationIndexes in a ClassificationIndexList optional element. The ClassificationIndexList is ignored if the content being submitted is not of the SCHEMA objectType.

The ClassificationIndex element inherits the attributes of the base class Object in [RIM]. It then defines specialized attributes as follows:

1. classificationNode: This attribute references a specific ClassificationNode by its ID.
2. contentIdentifier: This attribute identifies a specific data element within the document instances of the schema using an XPATH path expression as defined by [XPT].

8.3.11.3 Example Of Index Definition

To define an index that automatically classifies a CPP based upon the roles defined within its RoleName elements, the following index must be defined on the CPP schema or DTD:

```
<ClassificationIndex
      classificationNode='id-for-role-classification-scheme'
      contentIdentifier='/Role//RoleName'
/>
```

8.3.11.4 Example of Automatic Classification

Assume that a CPP is submitted that defines two roles as “seller” and “buyer." When the CPP is submitted it will automatically be classified by two ClassificationNodes named “buyer” and “seller” that are both children of the ClassificationNode (e.g. a node named Role) specified in the classificationNode attribute of the ClassificationIndex. Note that if either of the two ClassificationNodes named “buyer” and “seller” did not previously exist, the ObjectManager would automatically create these ClassificationNodes.

8.3.12 Ad Hoc Query Request/Response

A client submits an ad hoc query to the ObjectQueryManager by sending an AdhocQueryRequest. The AdhocQueryRequest contains a sub-element that defrines a query in one of the supported Registry query mechanisms.

The ObjectQueryManager sends an AdhocQueryResponse either synchronously or asynchronously back to the client. The AdhocQueryResponse return a collection of objects whose element type is in the set of element types represented by the leaf nodes of the RegistryEntry hierarchy in [RIM].

Figure 15: Submit Ad Hoc Query Sequence Diagram

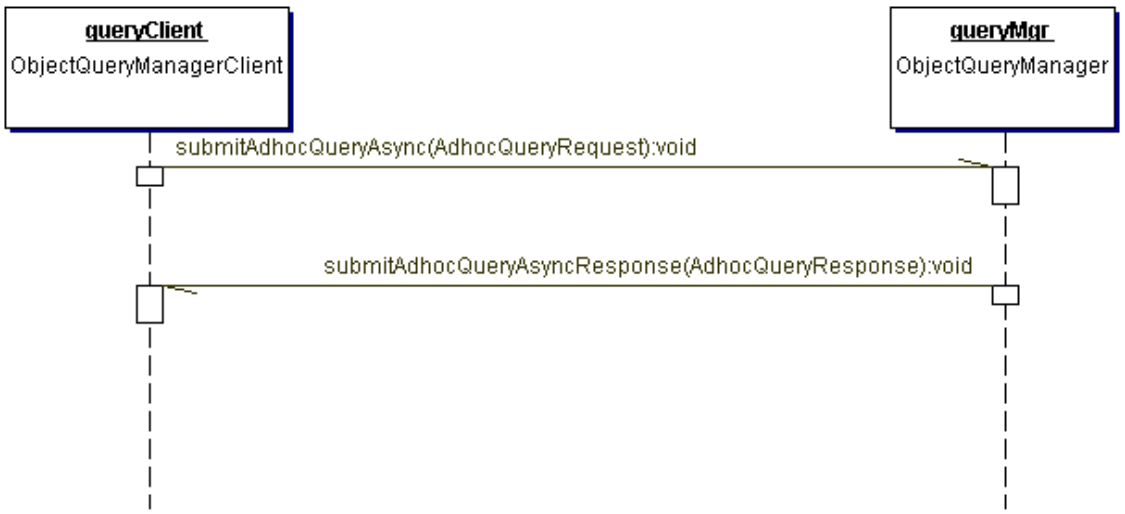


Figure 16: Submit Ad Hoc Query Asynchronous Sequence Diagram

For details on the schema for the business documents shown in this process refer to Appendix A.2.

8.4 Content Retrieval

A client retrieves content via the Registry by sending the GetContentRequest to the ObjectQueryManager. The GetContentRequest specifies a list of Object references for Objects that need to be retrieved. The ObjectQueryManager returns the specified content by sending a GetContentResponse message to the ObjectQueryManagerClient interface of the client. If there are no errors encountered, the GetContentResponse message includes the specified content as additional payloads within the message. In addition to the GetContentResponse payload, there is one additional payload for each content that was requested. If there are errors encountered, the GetContentResponse payload includes an ebXMLError and there are no additional content specific payloads.

8.4.1 Retrieval of Registry Profile

A special case of content retrieval is the retrieval of the RegistryProfile XML document. The RegistryProfile XML document is retrieved by specifying

A special id named *"RegistryProfileID"* as the value of the id attribute for the ObjectRef element in GetContentRequest.

8.4.2 Identification Of Content Payloads

Since the GetContentResponse message may include several repository items as additional payloads, it is necessary to have a way to identify each payload in the message. To facilitate this identification, the Registry must do the following:

- Use the ID for each RegistryEntry instance that describes the repository item as the DocumentLabel element in the DocumentReference for that object in the Manifest element of the ebXMLHeader.

8.4.3 GetContentResponse Message Structure

The following message fragment illustrates the structure of the GetContentResponse Message that is returning a Collection of CPPs as a result of a GetContentRequest that specified the IDs for the requested objects. Note that the ID for each object retrieved in the message as additional payloads is used as its DocumentLabel in the Manifest of the ebXMLHeader.

```
…
--7250537.978150567601.JavaMail.najmi.irian
…
<ebXMLHeader MessageType="Normal" Version="1.0">
   <Manifest>
      <DocumentReference>
         <DocumentLabel>GetContentsResponse</DocumentLabel>
         <DocumentId>6835fb:e3be512ac8:-8000</DocumentId>
      </DocumentReference>
      <DocumentReference>
         <DocumentLabel> ID for CPP content #1 </DocumentLabel>
         <DocumentId>....</DocumentId>
      </DocumentReference>
      <DocumentReference>
         <DocumentLabel> ID for CPP content #2 </DocumentLabel>
         <DocumentId>… </DocumentId>
      </DocumentReference>
   </Manifest>
   <Header>
   …
   </Header>
--7250537.978150567601.JavaMail.najmi.irian
Content-Type: application/xml
Content-Description: GetContentsResponse
Content-ID: 6835fb:e3be512ac8:-7ffc
Content-Length: 97

<?xml version="1.0" encoding="UTF-8"?>
<GetContentsResponse />

--7250537.978150567601.JavaMail.najmi.irian
Content-Type: application/xml
Content-Description: ID for CPP content #1
Content-ID: ….
…
<CPP>
…
</CPP>
--7250537.978150567601.JavaMail.najmi.irian
Content-Type: application/xml
Content-Description: ID for CPP content #2
```

```
Content-ID: ….
…
<CPP>
…
</CPP>
--7250537.978150567601.JavaMail.najmi.irian--
```

8.5 Query And Retrieval: Typical Sequence

The following diagram illustrates the use of both browse/drilldown and ad hoc queries followed by a retrieval of content that was selected by the queries.

Figure 17: Typical Query and Retrieval Sequence

9 Registry Security

This chapter describes the security features of the ebXML Registry. It is assumed that the reader is familiar with the security related classes in the Registry information model as described in [RIM].

In the current version of this specification, a minimalist approach has been specified for Registry security. The philosophy is that “Any *known* entity can publish content and *anyone* can view published content.” The Registry information model has been designed to allow more sophisticated security policies in future versions of this specification.

9.1 Integrity of Registry Content

It is assumed that most business registries do not have the resources to validate the veracity of the content submitted to them. The minimal integrity that the Registry must provide is to ensure that content submitted by a Submitting Organization (SO) is maintained in the Registry without any tampering either *en-route* or *within* the Registry. Furthermore, the Registry must make it possible to identify the SO for any Registry content unambiguously.

9.1.1 Message Payload Signature

Integrity of Registry content requires that all submitted content must be signed by the Registry client as defined by [SEC]. The signature on the submitted content ensures that:

- The content has not been tampered with en-route or within the Registry.
- The content’s veracity can be ascertained by its association with a specific submitting organization

9.2 Authentication

The Registry must be able to authenticate the identity of the Principal associated with client requests. Authentication is required to identify the ownership of content as well as to identify what “privileges” a Principal can be assigned with respect to the specific objects in the Registry.

The Registry must perform Authentication on a per request basis. From a security point of view, all messages are independent and there is no concept of a session encompassing multiple messages or conversations. Session support may be added as an optimization feature in future versions of this specification.

The Registry must implement a credential-based authentication mechanism based on digital certificates and signatures. The Registry uses the certificate DN from the signature to authenticate the user.

9.2.1 Message Header Signature

Message headers may be signed by the sending ebXML Messaging Service as defined by [SEC]. Since this specification is not yet finalized, this version does not require that the message header be signed. In the absence of a message header signature, the payload signature is used to authenticate the identity of the requesting client.

9.3 Confidentiality

9.3.1 On-the-wire Message Confidentiality

It is suggested but not required that message payloads exchanged between clients and the Registry be encrypted during transmission. Payload encryption must abide by any restrictions set forth in [SEC].

9.3.2 Confidentiality of Registry Content

In the current version of this specification, there are no provisions for confidentiality of Registry content. All content submitted to the Registry may be discovered and read by *any* client. Therefore, the Registry must be able to decrypt any submitted content after it has been received and prior to storing it in its repository. This implies that the Registry and the client have an a priori agreement regarding encryption algorithm, key exchange agreements, etc. This service is not addressed in this specification.

9.4 Authorization

The Registry must provide an authorization mechanism based on the information model defined in [RIM]. In this version of the specification the authorization mechanism is based on a default Access Control Policy defined for a pre-defined set of roles for Registry users. Future versions of this specification will allow for custom Access Control Policies to be defined by the Submitting Organization.

9.4.1 Pre-defined Roles For Registry Users

The following roles must be pre-defined in the Registry:

Role	Description
ContentOwner	The submitter or owner of a Registry content. Submitting Organization (SO) in ISO 11179
RegistryAdministrator	A “super” user that is an administrator of the Registry. Registration Authority (RA) in ISO 11179
RegistryGuest	Any unauthenticated user of the Registry. Clients that

	browse the Registry do not need to be authenticated.

9.4.2 Default Access Control Policies

The Registry must create a default AccessControlPolicy object that grants the default permissions to Registry users based upon their assigned role.

The following table defines the Permissions granted by the Registry to the various pre-defined roles for Registry users based upon the default AccessControlPolicy.

Role	Permissions
ContentOwner	Access to *all* methods on Registry Objects that are owned by the ContentOwner.
RegistryAdministrator	Access to *all* methods on *all* Registry Objects
RegistryGuest	Access to *all* read-only (getXXX) methods on *all* Registry Objects (read-only access to all content).

The following list summarizes the default role-based AccessControlPolicy:

- The Registry must implement the default AccessControlPolicy and associate it with all Objects in the Registry
- Anyone can publish content, but needs to be authenticated
- Anyone can access the content without requiring authentication
- The ContentOwner has access to all methods for Registry Objects owned by them
- The RegistryAdministrator has access to all methods on all Registry Objects
- Unauthenticated clients can access all read-only (getXXX) methods
- At the time of content submission, the Registry must assign the default ContentOwner role to the Submitting Organization (SO) as authenticated by the credentials in the submission message. In the current version of this specification, it will be the DN as identified by the certificate
- Clients that browse the Registry need not use certificates. The Registry must assign the default RegistryGuest role to such clients.

Appendix A Schemas and DTD Definitions

The following are definitions for the various ebXML Message payloads described in this document.

A.1 ebXMLError Message DTD

See [ERR] for ebXMLError Message DTD.

A.2 ebXML Registry DTD

```
<?xml version="1.0" encoding="UTF-8"?>
<!-- Begin information model mapping. -->
<!ENTITY % errorSchema SYSTEM "ebXMLError.dtd">
%errorSchema;

<!--
ObjectAttributes are attributes from the Object interface in RIM.

id may be empty. If specified it may be in urn:uuid format or be in some arbitrary format.
If id is empty registry must generate globally unique id.
If id is provided and in proper UUID syntax (starts with urn:uuid:) registry will honour
it
If id is provided and is not in proper UUID syntax then it is used for linkage within
document
and is ignored by the registry. In this case the registry generates a UUID for id
attribute.

id must not be null when object is being retrieved from the registry.
-->
<!ENTITY % ObjectAttributes "
   id          ID  #IMPLIED
   name        CDATA  #IMPLIED
   description CDATA  #IMPLIED
">

<!--
Use as a proxy for an Object that is in the registry already.
Specifies the id attribute of the object in the registry as its id attribute.
id attribute in ObjectAttributes is exactly the same syntax and semantics as id attribute
in Object.
-->
<!ELEMENT ObjectRef EMPTY>
<!ATTLIST ObjectRef
      id ID #IMPLIED
>
<!ELEMENT ObjectRefList (ObjectRef)*>


<!--
RegistryEntryAttributes are attributes from the RegistryEntry interface in RIM.
It inherits ObjectAttributes
-->
<!ENTITY % RegistryEntryAttributes " %ObjectAttributes;
   majorVersion     CDATA  '1'
   minorVersion     CDATA  '0'

   status           CDATA  #IMPLIED
   userVersion      CDATA  #IMPLIED
   stability        CDATA  'Dynamic'
   expirationDate   CDATA  #IMPLIED">
```

```
<!ELEMENT RegistryEntry (SlotList?)>
<!ATTLIST RegistryEntry
      %RegistryEntryAttributes;
>
<!ELEMENT Value (#PCDATA)>
<!ELEMENT ValueList (Value*)>
<!ELEMENT Slot (ValueList?)>
<!ATTLIST Slot
      name CDATA #REQUIRED
      slotType CDATA #IMPLIED
>
<!ELEMENT SlotList (Slot*)>

<!--
ExtrinsicObject are attributes from the ExtrinsicObject interface in RIM.
It inherits RegistryEntryAttributes
-->

<!ELEMENT ExtrinsicObject (ClassificationIndexList?)>
<!ATTLIST ExtrinsicObject
      %RegistryEntryAttributes;
      contentURI CDATA #REQUIRED
      mimeType CDATA #IMPLIED
      objectType CDATA #REQUIRED
      opaque (true | false) "false"
>

<!--
A ClassificationIndexList is specified on ExtrinsicObjects of objectType 'Schema' to
define
an automatic Classification of instance objects of the schema using
the specified classificationNode as parent and a ClassificationNode
created or selected by the object content as selected by the contentIdentifier
-->
<!ELEMENT ClassificationIndex EMPTY>
<!ATTLIST ClassificationIndex
      %ObjectAttributes;
      classificationNode IDREF #REQUIRED
      contentIdentifier CDATA #REQUIRED
>

<!-- ClassificationIndexList contains new ClassificationIndexes  -->
<!ELEMENT ClassificationIndexList (ClassificationIndex)*>

<!ENTITY % IntrinsicObjectAttributes " %RegistryEntryAttributes;">

<!-- Leaf classes that reflect the concrete classes in RIM -->
<!ELEMENT RegistryEntryList (Association | Classification | ClassificationNode | Package
| ExternalLink | ExternalIdentifier | Organization | ExtrinsicObject | ObjectRef)*>


<!--
An ExternalLink specifies a link from a RegistryEntry and an external URI
-->
<!ELEMENT ExternalLink EMPTY>
<!ATTLIST ExternalLink
      %IntrinsicObjectAttributes;
      externalURI CDATA #IMPLIED
>

<!--
An ExternalIdentifier provides an identifier for a RegistryEntry

The value is the value of the identifier (e.g. the social security number)
-->
<!ELEMENT ExternalIdentifier EMPTY>
<!ATTLIST ExternalIdentifier
```

```
      %IntrinsicObjectAttributes;
      value CDATA #REQUIRED
>

<!--
An Association specifies references to two previously submitted
registry entrys.

The sourceObject is id of the sourceObject in association
The targetObject is id of the targetObject in association
-->
<!ELEMENT Association EMPTY>
<!ATTLIST Association
      %IntrinsicObjectAttributes;
      fromLabel CDATA #IMPLIED
      toLabel CDATA #IMPLIED
      associationType CDATA #REQUIRED
      bidirection (true | false) "false"
      sourceObject IDREF #REQUIRED
      targetObject IDREF #REQUIRED
>

<!--
A Classification specifies references to two registry entrys.

The classifiedObject is id of the Object being classified.
The classificationNode is id of the ClassificationNode classying the object
-->
<!ELEMENT Classification EMPTY>
<!ATTLIST Classification
      %IntrinsicObjectAttributes;
      classifiedObject IDREF #REQUIRED
      classificationNode IDREF #REQUIRED
>

<!--
A Package is a named collection of objects.
-->
<!ELEMENT Package EMPTY>
<!ATTLIST Package
      %IntrinsicObjectAttributes;
>

<!-- Attributes inherited by various types of telephone number elements -->
<!ENTITY % TelephoneNumberAttributes " areaCode   CDATA  #REQUIRED
   contryCode CDATA  #REQUIRED
   extension  CDATA  #IMPLIED
   number     CDATA  #REQUIRED
   url        CDATA  #IMPLIED">
<!ELEMENT TelephoneNumber EMPTY>
<!ATTLIST TelephoneNumber
      %TelephoneNumberAttributes;
>
<!ELEMENT FaxNumber EMPTY>
<!ATTLIST FaxNumber
      %TelephoneNumberAttributes;
>

<!ELEMENT PagerNumber EMPTY>
<!ATTLIST PagerNumber
      %TelephoneNumberAttributes;
>

<!ELEMENT MobileTelephoneNumber EMPTY>
<!ATTLIST MobileTelephoneNumber
      %TelephoneNumberAttributes;
>
<!-- PostalAddress -->
```

```
<!ELEMENT PostalAddress EMPTY>
<!ATTLIST PostalAddress
      city CDATA #REQUIRED
      country CDATA #REQUIRED
      postalCode CDATA #REQUIRED
      state CDATA #REQUIRED
      street CDATA #REQUIRED
>
<!-- PersonName -->
<!ELEMENT PersonName EMPTY>
<!ATTLIST PersonName
      firstName CDATA #REQUIRED
      middleName CDATA #REQUIRED
      lastName CDATA #REQUIRED
>

<!-- Organization -->
<!ELEMENT Organization (PostalAddress, FaxNumber?, TelephoneNumber)>
<!ATTLIST Organization
      %IntrinsicObjectAttributes;
      parent IDREF #IMPLIED
      primaryContact IDREF #REQUIRED
>

<!ELEMENT User (PersonName, PostalAddress, TelephoneNumber, MobileTelephoneNumber?,
FaxNumber?, PagerNumber?)>
<!ATTLIST User
      %ObjectAttributes;
      organization IDREF #IMPLIED
      email CDATA #IMPLIED
      url CDATA #IMPLIED
>

<!ELEMENT AuditableEvent EMPTY>
<!ATTLIST AuditableEvent
      %ObjectAttributes;
      eventType CDATA #REQUIRED
      registryEntry IDREF #REQUIRED
      timestamp CDATA #REQUIRED
      user IDREF #REQUIRED
>
<!--
ClassificationNode is used to submit a Classification tree to the Registry.

parent is the id to the parent node. code is an optional code value for a
ClassificationNode
often defined by an external taxonomy (e.g. NAICS)
-->
<!ELEMENT ClassificationNode EMPTY>
<!ATTLIST ClassificationNode
      %IntrinsicObjectAttributes;
      parent IDREF #IMPLIED
      code CDATA #IMPLIED
>

<!--
End information model mapping.

Begin Registry Services Interface
-->
<!ELEMENT RequestAcceptedResponse EMPTY>
<!ATTLIST RequestAcceptedResponse
      xml:lang NMTOKEN #REQUIRED
      interfaceId CDATA #REQUIRED
      requestMessage CDATA #REQUIRED
      actionId CDATA #REQUIRED
>
<!--
```

```
The SubmittedObject provides meta data for submitted object
Note object being submitted is in a separate document that is not
in this DTD.
-->
<!ELEMENT SubmitObjectsRequest (RegistryEntryList)>
<!ELEMENT AddSlotsRequest (ObjectRef, SlotList)+>
<!-- Only need name in Slot within SlotList -->
<!ELEMENT RemoveSlotsRequest (ObjectRef, SlotList)+>
<!--
The ObjectRefList is the list of
refs to the registry entrys being approved.
-->
<!ELEMENT ApproveObjectsRequest (ObjectRefList)>
<!--
The ObjectRefList is the list of
refs to the registry entrys being deprecated.
-->
<!ELEMENT DeprecateObjectsRequest (ObjectRefList)>
<!--
The ObjectRefList is the list of
refs to the registry entrys being removed
-->
<!ELEMENT RemoveObjectsRequest (ObjectRefList)>
<!ATTLIST RemoveObjectsRequest
      deletionScope (DeleteAll | DeleteRepositoryItemOnly) "DeleteAll"
>
<!ELEMENT GetRootClassificationNodesRequest EMPTY>
<!--
The namePattern follows SQL-92 syntax for the pattern specified in
LIKE clause. It allows for selecting only those root nodes that match
the namePattern. The default value of '*' matches all root nodes.
-->
<!ATTLIST GetRootClassificationNodesRequest
      namePattern CDATA "*"
>
<!--
The response includes one or more ClassificationNodes
-->
<!ELEMENT GetRootClassificationNodesResponse ((ClassificationNode+) | ebXMLError)>
<!--
Get the classification tree under the ClassificationNode specified parentRef.

If depth is 1 just fetch immediate child
nodes, otherwise fetch the descendant tree upto the specified depth level.
If depth is 0 that implies fetch entire sub-tree
-->
<!ELEMENT GetClassificationTreeRequest EMPTY>
<!ATTLIST GetClassificationTreeRequest
      parent CDATA #REQUIRED
      depth CDATA "1"
>
<!--
The response includes one or more ClassificationNodes which includes only
immediate ClassificationNode children nodes if depth attribute in
GetClassificationTreeRequest was 1, otherwise the decendent nodes
upto specified depth level are returned.
-->
<!ELEMENT GetClassificationTreeResponse ((ClassificationNode+) | ebXMLError)>
<!--
Get refs to all registry entrys that are classified by all the
ClassificationNodes specified by ObjectRefList.
Note this is an implicit logical AND operation
-->
<!ELEMENT GetClassifiedObjectsRequest (ObjectRefList)>
<!--
objectType attribute can specify the type of objects that the registry
client is interested in, that is classified by this ClassificationNode.
It is a String that matches a choice in the type attribute of ExtrinsicObject.
```

```
The default value of '*' implies that client is interested in all types
of registry entrys that are classified by the specified ClassificationNode.
-->
<!--
The response includes a RegistryEntryList which has zero or more
RegistryEntrys that are classified by the ClassificationNodes
specified in the ObjectRefList in GetClassifiedObjectsRequest.
-->
<!ELEMENT GetClassifiedObjectsResponse (RegistryEntryList | ebXMLError)>
<!--
An Ad hoc query request specifies a query string as defined by [RS] in the queryString
attribute
-->
<!ELEMENT AdhocQueryRequest (FilterQuery | GetRegistryEntry | GetRepositoryItem |
SQLQuery)>
<!ELEMENT SQLQuery (#PCDATA)>
<!--
The response includes a RegistryEntryList which has zero or more
RegistryEntrys that match the query specified in AdhocQueryRequest.
-->
<!ELEMENT AdhocQueryResponse (RegistryEntryList | FilterQueryResult |
GetRegistryEntryResult | GetRepositoryItemResult | ebXMLError)>
<!--
Gets the actual content (not metadata) specified by the ObjectRefList
-->
<!ELEMENT GetContentRequest (ObjectRefList)>
<!--
The GetObjectsResponse will have no sub-elements if there were no errors.
The actual contents will be in the other payloads of the message.
If any errors were encountered the message will contain the ebXMLError and
the content payloads will be empty.
-->
<!ELEMENT GetContentResponse (ebXMLError?)>
<!--
Describes the capability profile for the registry and what optional features
are supported
-->
<!ELEMENT RegistryProfile (OptionalFeaturesSupported)>
<!ATTLIST RegistryProfile
      version CDATA #REQUIRED
>

<!ELEMENT OptionalFeaturesSupported EMPTY>
<!ATTLIST OptionalFeaturesSupported
      sqlQuery (true | false) "false"
      xQuery (true | false) "false"
>
<!-- Begin FilterQuery DTD -->
<!ELEMENT FilterQuery (RegistryEntryQuery | AuditableEventQuery | ClassificationNodeQuery
| RegistryPackageQuery | OrganizationQuery)>
<!ELEMENT FilterQueryResult (RegistryEntryQueryResult | AuditableEventQueryResult |
ClassificationNodeQueryResult | RegistryPackageQueryResult | OrganizationQueryResult)>
<!ELEMENT RegistryEntryQueryResult (RegistryEntryView*)>
<!ELEMENT RegistryEntryView EMPTY>
<!ATTLIST RegistryEntryView
      objectURN CDATA #REQUIRED
      contentURL CDATA #IMPLIED
      objectID CDATA #IMPLIED
>
<!ELEMENT AuditableEventQueryResult (AuditableEventView*)>
<!ELEMENT AuditableEventView EMPTY>
<!ATTLIST AuditableEventView
      objectID CDATA #REQUIRED
      timestamp CDATA #REQUIRED
>
<!ELEMENT ClassificationNodeQueryResult (ClassificationNodeView*)>
<!ELEMENT ClassificationNodeView EMPTY>
<!ATTLIST ClassificationNodeView
```

```
      objectURN CDATA #REQUIRED
      contentURL CDATA #IMPLIED
      objectID CDATA #IMPLIED
>
<!ELEMENT RegistryPackageQueryResult (RegistryPackageView*)>
<!ELEMENT RegistryPackageView EMPTY>
<!ATTLIST RegistryPackageView
      objectURN CDATA #REQUIRED
      contentURL CDATA #IMPLIED
      objectID CDATA #IMPLIED
>
<!ELEMENT OrganizationQueryResult (OrganizationView*)>
<!ELEMENT OrganizationView EMPTY>
<!ATTLIST OrganizationView
      orgURN CDATA #REQUIRED
      contactURL CDATA #IMPLIED
      objectID CDATA #IMPLIED
>
<!ELEMENT StatusResult (Success | (Exception | Warning)+)>
<!ELEMENT Success EMPTY>
<!ELEMENT Exception (#PCDATA)>
<!ATTLIST Exception
      code CDATA #REQUIRED
>
<!ELEMENT Warning (#PCDATA)>
<!ATTLIST Warning
      code CDATA #REQUIRED
>
<!ELEMENT RegistryEntryQuery (RegistryEntryFilter?, AsSourceAssociation*,
AsTargetAssociation*, RegistryEntryClassification*, SubmittingOrgFilter?,
ResponsibleOrgFilter?, ExternalLinkFilter*, RegistryEntryAuditableEvent*)>
<!ELEMENT AsSourceAssociation (AssociationFilter?, RegistryEntryFilter?)>
<!ELEMENT AsTargetAssociation (AssociationFilter?, RegistryEntryFilter?)>
<!ELEMENT RegistryEntryClassification (ClassificationFilter?, ClassificationNodeFilter?)>
<!ELEMENT SubmittingOrgFilter (OrganizationFilter?, ContactFilter?)>
<!ELEMENT ResponsibleOrgFilter (OrganizationFilter?, ContactFilter?)>
<!ELEMENT RegistryEntryAuditableEvent (AuditableEventFilter?, UserFilter?,
OrganizationFilter?)>
<!ELEMENT AuditableEventQuery (AuditableEventFilter?, RegistryEntryQuery*, UserFilter?,
OrganizationQuery?)>
<!ELEMENT ClassificationNodeQuery (ClassificationNodeFilter?, ClassifiesRegistryEntry*,
HasParentNode?, HasSubnode*)>
<!ELEMENT ClassifiesRegistryEntry (ClassificationFilter?, RegistryEntryQuery?)>
<!ELEMENT HasParentNode (ClassificationNodeFilter?, HasParentNode?)>
<!ELEMENT HasSubnode (ClassificationNodeFilter?, HasSubnode*)>
<!ELEMENT RegistryPackageQuery (PackageFilter?, PackageHasMember*)>
<!ELEMENT PackageHasMember (RegistryEntryQuery?)>
<!ELEMENT OrganizationQuery (OrganizationFilter?, SubmitsEntry*, HasParentOrganization?,
InvokesEvent*, ContactFilter*)>
<!ELEMENT SubmitsEntry (RegistryEntryQuery?)>
<!ELEMENT HasParentOrganization (OrganizationFilter?, HasParentOrganization?)>
<!ELEMENT InvokesEvent (UserFilter?, AuditableEventFilter?, RegistryEntryQuery?)>
<!ELEMENT GetRegistryEntry (RegistryEntryQuery, WithClassifications?,
WithAsSourceAssociations?, WithAsTargetAssociations?, WithAuditableEvents?,
WithExternalLinks?)>
<!ELEMENT WithClassifications (ClassificationFilter?)>
<!ELEMENT WithAsSourceAssociations (AssociationFilter?)>
<!ELEMENT WithAsTargetAssociations (AssociationFilter?)>
<!ELEMENT WithAuditableEvents (AuditableEventFilter?)>
<!ELEMENT WithExternalLinks (ExternalLinkFilter?)>
<!ELEMENT GetRegistryEntryResult (RegistryEntryMetadata*, StatusResult)>
<!ELEMENT RegistryEntryMetadata (RegistryEntry, Classification*, AsSourceAssociations?,
AsTargetAssociations?, AuditableEvent*, ExternalLink*)>
<!ELEMENT AsSourceAssociations (Association*)>
<!ELEMENT AsTargetAssociations (Association*)>
<!ELEMENT GetRepositoryItem (RegistryEntryQuery, RecursiveAssociationOption?,
WithShortDescription?)>
<!ELEMENT RecursiveAssociationOption (AssociationRole+)>
```

```
<!ATTLIST RecursiveAssociationOption
      depthLimit CDATA #IMPLIED
>
<!ELEMENT AssociationRole EMPTY>
<!ATTLIST AssociationRole
      role CDATA #REQUIRED
>
<!ELEMENT WithShortDescription EMPTY>
<!ELEMENT GetRepositoryItemResult (RepositoryItem*, StatusResult)>
<!ELEMENT RepositoryItem (RegistryPackage | ExtrinsicObject | WithdrawnObject |
ExternalItem)>
<!ATTLIST RepositoryItem
      identifier CDATA #REQUIRED
      name CDATA #REQUIRED
      repositoryURL CDATA #REQUIRED
      objectType CDATA #REQUIRED
      status CDATA #REQUIRED
      stability CDATA #REQUIRED
      description CDATA #IMPLIED
>
<!ELEMENT RegistryPackage EMPTY>
<!ELEMENT WithdrawnObject EMPTY>
<!ELEMENT ExternalItem EMPTY>
<!ELEMENT ObjectFilter (Clause)>
<!ELEMENT RegistryEntryFilter (Clause)>
<!ELEMENT IntrinsicObjectFilter (Clause)>
<!ELEMENT ExtrinsicObjectFilter (Clause)>
<!ELEMENT PackageFilter (Clause)>
<!ELEMENT OrganizationFilter (Clause)>
<!ELEMENT ContactFilter (Clause)>
<!ELEMENT ClassificationNodeFilter (Clause)>
<!ELEMENT AssociationFilter (Clause)>
<!ELEMENT ClassificationFilter (Clause)>
<!ELEMENT ExternalLinkFilter (Clause)>
<!ELEMENT AuditableEventFilter (Clause)>
<!ELEMENT UserFilter (Clause)>
<!ELEMENT Clause (SimpleClause | CompoundClause)>
<!ELEMENT SimpleClause (BooleanClause | RationalClause | StringClause)>
<!ATTLIST SimpleClause
      leftArgument CDATA #REQUIRED
>
<!ELEMENT CompoundClause (Clause, Clause+)>
<!ATTLIST CompoundClause
      connectivePredicate (And | Or) #REQUIRED
>
<!ELEMENT BooleanClause EMPTY>
<!ATTLIST BooleanClause
      booleanPredicate (true | false) #REQUIRED
>
<!ELEMENT RationalClause (IntClause | FloatClause)>
<!ATTLIST RationalClause
      logicalPredicate (LE | LT | GE | GT | EQ | NE) #REQUIRED
>
<!ELEMENT IntClause (#PCDATA)>
<!ATTLIST IntClause
      e-dtype NMTOKEN #FIXED "int"
>
<!ELEMENT FloatClause (#PCDATA)>
<!ATTLIST FloatClause
      e-dtype NMTOKEN #FIXED "float"
>
<!ELEMENT StringClause (#PCDATA)>
<!ATTLIST StringClause
      stringPredicate (contains | -contains | startswith | -startswith | endswith | -
endswith) #REQUIRED
>
<!-- End FilterQuery DTD -->
<!--
```

```
The contrived root node
-->
<!ELEMENT RootElement (RequestAcceptedResponse | ebXMLError | SubmitObjectsRequest |
ApproveObjectsRequest | DeprecateObjectsRequest | RemoveObjectsRequest |
GetRootClassificationNodesRequest | GetRootClassificationNodesResponse |
GetClassificationTreeRequest | GetClassificationTreeResponse |
GetClassifiedObjectsRequest | GetClassifiedObjectsResponse | AdhocQueryRequest |
AdhocQueryResponse | GetContentRequest | GetContentResponse | AddSlotsRequest |
RemoveSlotsRequest | RegistryProfile)>
```

Appendix B Interpretation of UML Diagrams

This section describes in *abstract terms* the conventions used to define ebXML business process description in UML.

B.1 UML Class Diagram

A UML class diagram is used to describe the Service Interfaces (as defined by [CPA]) required to implement an ebXML Registry Services and clients. See Figure 2 on page 15 for an example. The UML class diagram contains:

1. A collection of UML interfaces where each interface represents a Service Interface for a Registry service.
2. Tabular description of methods on each interface where each method represents an Action (as defined by [CPA]) within the Service Interface representing the UML interface.
3. Each method within a UML interface specifies one or more parameters, where the type of each method argument represents the ebXML message type that is exchanged as part of the Action corresponding to the method. Multiple arguments imply multiple payload documents within the body of the corresponding ebXML message.

B.2 UML Sequence Diagram

A UML sequence diagram is used to specify the business protocol representing the interactions between the UML interfaces for a Registry specific ebXML business process. A UML sequence diagram provides the necessary information to determine the sequencing of messages, request to response association as well as request to error response association as described by [CPA].

Each sequence diagram shows the sequence for a specific conversation protocol as method calls from the requestor to the responder. Method invocation may be synchronous or asynchronous based on the UML notation used on the arrow-head for the link. A half arrow-head represents asynchronous communication. A full arrow-head represents synchronous communication.

Each method invocation may be followed by a response method invocation from the responder to the requestor to indicate the ResponseName for the previous Request. Possible error response is indicated by a conditional response method invocation from the responder to the requestor. See Figure 4 on page 22 for an example.

Appendix C SQL Query

C.1 SQL Query Syntax Specification

This section specifies the rules that define the SQL Query syntax as a subset of SQL-92. The terms enclosed in angle brackets are defined in [SQL] or in [SQL/PSM].

1. The SQL query syntax conforms to the <query specification>, modulo the restrictions identified below
2. A <select list> may contain at most one <select sublist>
3. In a <select list> must be is a single column whose data type is UUID, from the table in the <from clause>,
4. A <derived column> may not have an <as clause>
5. <table expression> does not contain the optional <group by clause> and <having clause> clauses.
6. A <table reference> can only consist of <table name> and <correlation name>
7. A <table reference> does not have the optional AS between <table name> and <correlation name>
8. There can only be one <table reference> in the <from clause>
9. Restricted use of sub-queries is allowed by the syntax as follows. The <in predicate> allows for the right hand side of the <in predicate> to be limited to a restricted <query specification> as defined above.
10. A <search condition> within the <where clause> may not include a <query expression>.

11. The SQL query syntax allows for the use of `<sql invoked routines>` invocation from [SQL/PSM] as the RHS of the `<in predicate>`.

C.2 Non-Normative BNF for Query Syntax Grammar

The following BNF exemplifies the grammar for the registry query syntax. It is provided here as an aid to implementors. Since this BNF is not based on [SQL] it is provided as non-normative syntax. For the normative syntax rules see appendix C.1.

```
/*****************************************************************
 * The Registry Query (Subset of SQL-92) grammar starts here
 *****************************************************************/

RegistryQuery = SQLSelect [“;”]

SQLSelect = "SELECT" SQLSelectCols "FROM" SQLTableList [ SQLWhere ]

SQLSelectCols =  ID

SQLTableList = SQLTableRef

SQLTableRef = ID

SQLWhere = "WHERE" SQLOrExpr

SQLOrExpr = SQLAndExpr ( "OR" SQLAndExpr)*

SQLAndExpr = SQLNotExpr ("AND" SQLNotExpr)*

SQLNotExpr = [ "NOT" ] SQLCompareExpr

SQLCompareExpr =
    (SQLColRef "IS") SQLIsClause
  | SQLSumExpr [ SQLCompareExprRight ]


SQLCompareExprRight =
    SQLLikeClause
  | SQLInClause
  | SQLCompareOp SQLSumExpr

SQLCompareOp =
    "="
  | "<>"
  | ">"
  | ">="
  | "<"
  | "<="

SQLInClause = [ "NOT" ] "IN" "(" SQLLValueList ")"

SQLLValueList = SQLLValueElement ( "," SQLLValueElement )*

SQLLValueElement = "NULL" | SQLSelect

SQLIsClause =  SQLColRef "IS" [ "NOT" ] "NULL"

SQLLikeClause = [ "NOT" ] "LIKE" SQLPattern

SQLPattern = STRING_LITERAL

SQLLiteral =
    STRING_LITERAL
  | INTEGER_LITERAL
  | FLOATING_POINT_LITERAL
```

```
SQLColRef = SQLLvalue

SQLLvalue =  SQLLvalueTerm

SQLLvalueTerm = ID ( "."  ID )*

SQLSumExpr = SQLProductExpr (( "+" | "-" ) SQLProductExpr )*

SQLProductExpr = SQLUnaryExpr (( "*" | "/" ) SQLUnaryExpr )*

SQLUnaryExpr = [ ( "+" | "-") ] SQLTerm

SQLTerm = "(" SQLOrExpr ")"
  | SQLColRef
  | SQLLiteral

INTEGER_LITERAL = (["0"-"9"])+

FLOATING_POINT_LITERAL =
          (["0"-"9"])+ "." (["0"-"9"])+ (EXPONENT)?
        | "." (["0"-"9"])+ (EXPONENT)?
        | (["0"-"9"])+ EXPONENT
        | (["0"-"9"])+ (EXPONENT)?

EXPONENT = ["e","E"] (["+","-"])? (["0"-"9"])+

STRING_LITERAL: "'" (~["'"])* ( "''" (~["'"])* )* "'"

ID = ( <LETTER> )+ ( "_" | "$" | "#" | <DIGIT> | <LETTER> )*
LETTER = ["A"-"Z", "a"-"z"]
DIGIT = ["0"-"9"]
```

C.3 Relational Schema For SQL Queries

```
--SQL Load file for creating the ebXML Registry tables


--Minimal use of SQL-99 features in DDL is illustrative and may be easily mapped to SQL-
92


CREATE TYPE ShortName AS VARCHAR(64) NOT FINAL;
CREATE TYPE LongName AS VARCHAR(128) NOT FINAL;
CREATE TYPE FreeFormText AS VARCHAR(256) NOT FINAL;

CREATE TYPE UUID UNDER ShortName FINAL;
CREATE TYPE URI UNDER LongName FINAL;

CREATE TABLE ExtrinsicObject (

--Object Attributes
  id                                        UUID PRIMARY KEY NOT NULL,
  name                                      LongName,
  description                          FreeFormText,
  accessControlPolicy           UUID NOT NULL,

--Versionable attributes
  majorVersion                         INT DEFAULT 0 NOT NULL,
  minorVersion                         INT DEFAULT 1 NOT NULL,

--RegistryEntry attributes
  status                                    INT DEFAULT 0 NOT NULL,
  userVersion                          ShortName,
  stability                                 INT    DEFAULT 0 NOT NULL,
```

```
  expirationDate                  TIMESTAMP,

--ExtrinsicObject attributes
  contentURI                              URI,
  mimeType                                      ShortName,
  objectType                              INT DEFAULT 0 NOT NULL,
  opaque                                        BOOLEAN DEFAULT false NOT NULL

);

CREATE PROCEDURE RegistryEntry_associatedObjects(registryEntryId) {
--Must return a collection of UUIDs for related RegistryEntry instances
}

CREATE PROCEDURE RegistryEntry_auditTrail(registryEntryId) {
--Must return an collection of UUIDs for AuditableEvents related to the RegistryEntry.
--Collection must be in ascending order by timestamp
}

CREATE PROCEDURE RegistryEntry_externalLinks(registryEntryId) {
--Must return a collection of UUIDs for ExternalLinks annotating this RegistryEntry.
}

CREATE PROCEDURE RegistryEntry_externalIdentifiers(registryEntryId) {
--Must return a collection of UUIDs for ExternalIdentifiers for this RegistryEntry.
}

CREATE PROCEDURE RegistryEntry_classificationNodes(registryEntryId) {
--Must return a collection of UUIDs for ClassificationNodes classifying this
RegistryEntry.
}

CREATE PROCEDURE RegistryEntry_packages(registryEntryId) {
--Must return a collection of UUIDs for Packages that this RegistryEntry belongs to.
}

CREATE TABLE Package (

--Object Attributes
  id                                            UUID PRIMARY KEY NOT NULL,
  name                                          LongName,
  description                             FreeFormText,
  accessControlPolicy           UUID NOT NULL,

--Versionable attributes
  majorVersion                            INT DEFAULT 0 NOT NULL,
  minorVersion                            INT DEFAULT 1 NOT NULL,

--RegistryEntry attributes
  status                                        INT DEFAULT 0 NOT NULL,
  userVersion                             ShortName,
  stability                                     INT    DEFAULT 0 NOT NULL,
  expirationDate                  TIMESTAMP,

--Package attributes
);

CREATE PROCEDURE Package_memberbjects(packageId) {
--Must return a collection of UUIDs for RegistryEntrys that are memebers of this Package.
}

CREATE TABLE ExternalLink (

--Object Attributes
  id                                            UUID PRIMARY KEY NOT NULL,
  name                                          LongName,
  description                             FreeFormText,
  accessControlPolicy           UUID NOT NULL,
```

```
--Versionable attributes
  majorVersion                          INT DEFAULT 0 NOT NULL,
  minorVersion                          INT DEFAULT 1 NOT NULL,

--RegistryEntry attributes
  status                                      INT DEFAULT 0 NOT NULL,
  userVersion                           ShortName,
  stability                                   INT    DEFAULT 0 NOT NULL,
  expirationDate                  TIMESTAMP,

--ExternalLink attributes
  externalURI                           URI NOT NULL
);

CREATE PROCEDURE ExternalLink_linkedObjects(registryEntryId) {
--Must return a collection of UUIDs for objects in this relationship
}

CREATE TABLE ExternalIdentifier (

--Object Attributes
  id                                          UUID PRIMARY KEY NOT NULL,
  name                                        LongName,
  description                           FreeFormText,
  accessControlPolicy           UUID NOT NULL,

--Versionable attributes
  majorVersion                          INT DEFAULT 0 NOT NULL,
  minorVersion                          INT DEFAULT 1 NOT NULL,

--RegistryEntry attributes
  status                                      INT DEFAULT 0 NOT NULL,
  userVersion                           ShortName,
  stability                                   INT    DEFAULT 0 NOT NULL,
  expirationDate                  TIMESTAMP,

--ExternalIdentifier attributes
  value                                       ShortName NOT NULL

);


--A SlotValue row represents one value of one slot in some
--RegistryEntry
CREATE TABLE SlotValue (

--Object Attributes
  registryEntry                   UUID   PRIMARY KEY NOT NULL,

--Slot attributes
  name                                  LongName NOT NULL PRIMARY KEY NOT NULL,
  value                                 ShortName NOT NULL
);

CREATE TABLE Association (
--Object Attributes
  id                                          UUID PRIMARY KEY NOT NULL,
  name                                        LongName,
  description                           FreeFormText,
  accessControlPolicy           UUID NOT NULL,

--Versionable attributes
  majorVersion                          INT DEFAULT 0 NOT NULL,
  minorVersion                          INT DEFAULT 1 NOT NULL,

--RegistryEntry attributes
  status                                      INT DEFAULT 0 NOT NULL,
```

```
  userVersion                           ShortName,
  stability                                   INT    DEFAULT 0 NOT NULL,
  expirationDate                TIMESTAMP,

--Association attributes
  associationType               INT NOT NULL,
  bidirectional                         BOOLEAN DEFAULT false NOT NULL,
  sourceObject                          UUID NOT NULL,
  sourceRole                            ShortName,
  label                                       ShortName,
  targetObject                          UUID NOT NULL,
  targetRole                            ShortName
);

--Classification is currently identical to Association
CREATE TABLE Classification (
--Object Attributes
  id                                          UUID PRIMARY KEY NOT NULL,
  name                                        LongName,
  description                           FreeFormText,
  accessControlPolicy           UUID NOT NULL,

--Versionable attributes
  majorVersion                          INT DEFAULT 0 NOT NULL,
  minorVersion                          INT DEFAULT 1 NOT NULL,

--RegistryEntry attributes
  status                                      INT DEFAULT 0 NOT NULL,
  userVersion                           ShortName,
  stability                                   INT    DEFAULT 0 NOT NULL,
  expirationDate                TIMESTAMP,

--Classification attributes. Assumes not derived from Association
  sourceObject                          UUID NOT NULL,
  targetObject                          UUID NOT NULL,
);


CREATE TABLE ClassificationNode (
--Object Attributes
  id                                          UUID PRIMARY KEY NOT NULL,
  name                                        LongName,
  description                           FreeFormText,
  accessControlPolicy           UUID NOT NULL,

--Versionable attributes
  majorVersion                          INT DEFAULT 0 NOT NULL,
  minorVersion                          INT DEFAULT 1 NOT NULL,

--RegistryEntry attributes
  status                                      INT DEFAULT 0 NOT NULL,
  userVersion                           ShortName,
  stability                                   INT    DEFAULT 0 NOT NULL,
  expirationDate                TIMESTAMP,

--ClassificationNode attributes
  parent                                      UUID,
  path                                        VARCHAR(512) NOT NULL,
  code                                        ShortName
);

CREATE PROCEDURE ClassificationNode_classifiedObjects(classificationNodeId) {
--Must return a collection of UUIDs for RegistryEntries classified by this
ClassificationNode
}

--Begin Registry Audit Trail tables
```

```
CREATE TABLE AuditableEvent (
--Object Attributes
  id                                        UUID PRIMARY KEY NOT NULL,
  name                                      LongName,
  description                           FreeFormText,
  accessControlPolicy           UUID NOT NULL,

--AuditableEvent attributes
  user                          UUID,
  eventType                                 INT DEFAULT 0 NOT NULL,
  registryEntry                         UUID NOT NULL,
  timestamp                                 TIMESTAMP NOT NULL,
);



CREATE TABLE User (
--Object Attributes
  id                                        UUID PRIMARY KEY NOT NULL,
  name                                      LongName,
  description                           FreeFormText,
  accessControlPolicy           UUID NOT NULL,

--User attributes
  organization                    UUID NOT NULL

--address attributes flattened
  address_city                    ShortName,
  address_country               ShortName,
  address_postalCode            ShortName,
  address_state                   ShortName,
  address_street                ShortName,

  email                                 ShortName,

--fax attribute flattened
  fax_areaCode                    VARCHAR(4) NOT NULL,
  fax_countryCode               VARCHAR(4),
  fax_extension                   VARCHAR(8),
  fax_umber                             VARCHAR(8) NOT NULL,
  fax_url                               URI

 --mobilePhone attribute flattened
  mobilePhone_areaCode            VARCHAR(4) NOT NULL,
  mobilePhone_countryCode       VARCHAR(4),
  mobilePhone_extension           VARCHAR(8),
  mobilePhone_umber                     VARCHAR(8) NOT NULL,
  mobilePhone_url                       URI

--name attribute flattened
  name_firstName                ShortName,
  name_middleName               ShortName,
  name_lastName                   ShortName,

--pager attribute flattened
  pager_areaCode                VARCHAR(4) NOT NULL,
  pager_countryCode             VARCHAR(4),
  pager_extension               VARCHAR(8),
  pager_umber                     VARCHAR(8) NOT NULL,
  pager_url                             URI

--telephone attribute flattened
  telephone_areaCode              VARCHAR(4) NOT NULL,
  telephone_countryCode           VARCHAR(4),
  telephone_extension             VARCHAR(8),
  telephone_umber                       VARCHAR(8) NOT NULL,
  telephone_url                             URI,
```

```
  url                                                URI,

);

CREATE TABLE Organization (
--Object Attributes
  id                                          UUID PRIMARY KEY NOT NULL,
  name                                        LongName,
  description                           FreeFormText,
  accessControlPolicy           UUID NOT NULL,

--Versionable attributes
  majorVersion                          INT DEFAULT 0 NOT NULL,
  minorVersion                          INT DEFAULT 1 NOT NULL,

--RegistryEntry attributes
  status                                      INT DEFAULT 0 NOT NULL,
  userVersion                           ShortName,
  stability                                   INT    DEFAULT 0 NOT NULL,
  expirationDate                  TIMESTAMP,

--Organization attributes

--Organization.address attribute flattened
  address_city                          ShortName,
  address_country                 ShortName,
  address_postalCode            ShortName,
  address_state                         ShortName,
  address_street                  ShortName,

--primary contact for Organization, points to a User.
--Note many Users may belong to the same Organization
  contact                                     UUID NOT NULL,

--Organization.fax attribute falttened
  fax_areaCode                    VARCHAR(4) NOT NULL,
  fax_countryCode               VARCHAR(4),
  fax_extension                   VARCHAR(8),
  fax_umber                             VARCHAR(8) NOT NULL,
  fax_url                               URI,

--Organization.parent attribute
  parent                                UUID,

--Organization.telephone attribute falttened
  telephone_areaCode              VARCHAR(4) NOT NULL,
  telephone_countryCode           VARCHAR(4),
  telephone_extension             VARCHAR(8),
  telephone_umber                       VARCHAR(8) NOT NULL,
  telephone_url                         URI
);


--Note that the RIM security view is not visible through the public query mechanism
--in the current release


--The RegistryEntry View allows polymorphic queries over all RIM classes derived
--from RegistryEntry

CREATE VIEW RegistryEntry (
--Object Attributes
  id,
  name,
  description,
  accessControlPolicy,

--Versionable attributes
```

```
  majorVersion,
  minorVersion,

--RegistryEntry attributes
  status,
  userVersion,
  stability,
  expirationDate

) AS
 SELECT
--Object Attributes
  id,
  name,
  description,
  accessControlPolicy,

--Versionable attributes
  majorVersion,
  minorVersion,

--RegistryEntry attributes
  status,
  userVersion,
  stability,
  expirationDate

 FROM ExtrinsicObject
 UNION

 SELECT
--Object Attributes
  id,
  name,
  description,
  accessControlPolicy,

--Versionable attributes
  majorVersion,
  minorVersion,

--RegistryEntry attributes
  status,
  userVersion,
  stability,
  expirationDate
 FROM (Registry)Package
 UNION

 SELECT
--Object Attributes
  id,
  name,
  description,
  accessControlPolicy,

--Versionable attributes
  majorVersion,
  minorVersion,

--RegistryEntry attributes
  status,
  userVersion,
  stability,
  expirationDate
FROM ClassificationNode;
```

Appendix D Security Implementation Guideline

This section provides a suggested blueprint for how security processing may be implemented in the Registry. It is meant to be illustrative not prescriptive. Registries may choose to have different implementations as long as they support the default security roles and authorization rules described in this document.

D.1 Authentication

1. As soon as a message is received, the first work is the authentication. A principal object is created.
2. If the message is signed, it is verified (including the validity of the certificate) and the DN of the certificate becomes the identity of the principal. Then the Registry is searched for the principal and if found, the roles and groups are filled in.
3. If the message is not signed, an empty principal is created with the role RegistryGuest. This step is for symmetry and to decouple the rest of the processing.
4. Then the message is processed for the command and the objects it will act on

D.2 Authorization

For every object, the access controller will iterate through all the AccessControlPolicy objects with the object and see if there is a chain through the permission objects to verify that the requested method is permitted for the Principal. If any of the permission objects which the object is associated with has a common role, or identity, or group with the principal, the action is permitted.

D.3 Registry Bootstrap

When a Registry is newly created, a default Principal object should be created with the identity of the Registry Admin's certificate DN with a role RegistryAdmin. This way, any message signed by the Registry Admin will get all the privileges.

When a Registry is newly created, a singleton instance of AccessControlPolicy is created as the default AccessControlPolicy. This includes the creation of the necessary Permission instances as well as the Privilges and Privilege attributes.

D.4 Content Submission – Client Responsibility

The Registry client has to sign the contents before submission – otherwise the content will be rejected.

D.5 Content Submission – Registry Responsibility

1. Like any other request, the client will be first authenticated. In this case, the Principal object will get the DN from the certificate.
2. As per the request in the message, the RegistryEntry will be created.
3. The RegistryEntry is assigned the singleton default AccessControlPolicy.
4. If a principal with the identity of the SO is not available, an identity object with the SO's DN is created
5. A principal with this identity is created

D.6 Content Delete/Deprecate – Client Responsibility

The Registry client has to sign the payload (not entire message) before submission, for authentication purposes; otherwise, the request will be rejected

D.7 Content Delete/Deprecate – Registry Responsibility

1. Like any other request, the client will be first authenticated. In this case, the Principal object will get the DN from the certificate. As there will be a principal with this identity in the Registry, the Principal object will get all the roles from that object
2. As per the request in the message (delete or deprecate), the appropriate method in the Object will be accessed.
3. The access controller performs the authorization by iterating through the Permission objects associated with this object via the singleton default AccessControlPolicy.
4. If authorization succeeds then the action will be permitted. Otherwise an error response is sent back with a suitable AuthorizationException error message.

Appendix E Native Language Support (NLS)

E.1 Definitions

Although this section discusses only character set and language, the following terms have to be defined clearly.

E.1.1 Coded Character Set (CCS):

CCS is a mapping from a set of abstract characters to a set of integers. [RFC 2130]. Examples of CCS are ISO-10646, US-ASCII, ISO-8859-1, and so on.

E.1.2 Character Encoding Scheme (CES):

CES is a mapping from a CCS (or several) to a set of octets. [RFC 2130]. Examples of CES are ISO-2022, UTF-8.

E.1.3 Character Set (charset):

charset is a set of rules for mapping from a sequence of octets to a sequence of characters.[RFC 2277],[RFC 2278]. Examples of character set are ISO-2022-JP, EUC-KR.

A list of registered character sets can be found at [IANA].

E.2 NLS And Request / Response Messages

For the accurate processing of data in both registry client and registry services, it is essential to know which character set is used. Although the body part of the transaction may contain the charset in xml encoding declaration, registry client and registry services shall specify charset parameter in MIME header when they use text/xml. Because as defined in [RFC 3023], if a text/xml entity is received with the charset parameter omitted, MIME processors and XML processors MUST use the default charset value of "us-ascii".

Ex. Content-Type: text/xml; charset=ISO-2022-JP

Also, when an application/xml entity is used, the charset parameter is optional, and registry client and registry services must follow the requirements in section 4.3.3 of [REC-XML] which directly address this contingency.

If another Content-Type is chosen to be used, usage of charset must follow [RFC 3023].

E.3 NLS And Storing of RegistryEntry

This section provides NLS guidelines on how a registry should store ***RegistryEntry*** instances.

E.3.1 Character Set of *RegistryEntry*

This is basically an implementation issue because the actual character set that the ***RegistryEntry*** is stored with, does not affect the interface. However, it is highly recommended to use UTF-16 or UTF-8 for covering various languages.

E.3.2 Language Information of *RegistryEntry*

The language may be specified in xml:lang attribute (section 2.12 [REC-XML]). If the xml:lang attribute is specified, then the registry may use that language code as the value of a special Slot with name ***language*** and sloType of ***nls*** in the ***RegistryEntry***. The value must be compliant to [RFC 1766]. Slots are defined in [RIM].

E.4 NLS And Storing of Repository Items

This section provides NLS guidelines on how a registry should store repository items.

E.4.1 Character Set of Repository Items

Unlike the character set of ***RegistryEntry***, the charset of a repository item must be preserved as it is originally specified in the transaction. The registry may use a special Slot with name ***repositoryItemCharset,*** and sloType of ***nls*** for the ***RegistryEntry*** for storing the charset of the corresponding repository item. Value must be the one defined in [RFC 2277], [RFC 2278]. The ***repositoryItemCharset*** is optional because not all repository items require it.

E.4.2 Language information of repository item

Specifying only character set is not enough to tell which language is used in the repository item. A registry may use a special Slot with name ***repositoryItemLang,*** and sloType of ***nls*** to store that information. This attribute is optional because not all repository items require it. Value must be compliant to [RFC 1766]

This document currently specifies only the method of sending the information of character set and language, and how it is stored in a registry. However, the language information may be used as one of the query criteria, such as retrieving only DTD written in French. Furthermore, a language negotiation procedure, like registry client is asking a favorite language for messages from registry services, could be another functionality for the future revision of this document.

Appendix F Terminology Mapping

While every attempt has been made to use the same terminology used in other works there are some terminology differences.

The following table shows the terminology mapping between this specification and that used in other specifications and working groups.

This Document	OASIS	ISO 11179
“repository item”	Registered Object	
RegistryEntry	Registry Item	Administered Component
ExternalObject	Related Data	N/A
Object.ID	RaItemId	
ExtrinsicObject.uri	ObjectLocation	
ExtrinsicObject.objectType	DefnSource, PrimaryClass, SubClass	
RegistryEntry.name	CommonName	
Object.description	Description	
ExtrinsicObject.mimeType	MimeType	
Versionable.majorVersion	partially to Version	
Versionable.minorVersion	partially to Version	
RegistryEntry.status	RegStatus	

Table 1: Terminology Mapping Table

10 References

[GLS] ebXML Glossary, http://www.ebxml.org/documents/199909/terms_of_reference.htm

[TA] ebXML Technical Architecture

http://www.ebxml.org/specdrafts/ebXML_TA_v1.0.pdf

[OAS] OASIS Information Model

http://www.nist.gov/itl/div897/ctg/regrep/oasis-work.html

[ISO] ISO 11179 Information Model

http://208.226.167.205/SC32/jtc1sc32.nsf/576871ad2f11bba7852566210054419d7/b83fc7816a6064c68525690e0065f913?OpenDocument

[BDM] Registry and Repository: Business Domain Model
http://www.ebxml.org/specdrafts/RegRepv1-0.pdf
[RIM] ebXML Registry Information Model
http://www.ebxml.org/project_teams/registry/private/registryInfoModelv0.54.pdf
[BPM] ebXML Business Process Metamodel Specification Schema
http://www.ebxml.org/specdrafts/Busv2-0.pdf
[CPA] Trading-Partner Specification
http://www.ebxml.org/project_teams/trade_partner/private/
[CTB] Context table informal document from Core Components
[MS] ebXML Messaging Service Specification, Version 0.21
http://ebxml.org/project_teams/transport/private/ebXML_Messaging_Service_Specification_v0-21.pdf
[ERR] ebXML TRP Error Handling Specification
http://www.ebxml.org/project_teams/transport/ebXML_Message_Service_Specification_v-0.8_001110.pdf
[SEC] ebXML Security Specification
http://lists.ebxml.org/archives/ebxml-ta-security/200012/msg00072.html
[XPT] XML Path Language (XPath) Version 1.0
http://www.w3.org/TR/xpath
[SQL] Structured Query Language (FIPS PUB 127-2)
http://www.itl.nist.gov/fipspubs/fip127-2.htm
[SQL/PSM] Database Language SQL — Part 4: Persistent Stored Modules (SQL/PSM) [ISO/IEC 9075-4:1996]
[IANA] IANA (Internet Assigned Numbers Authority). Official Names for Character Sets, ed. Keld Simonsen et al.
ftp://ftp.isi.edu/in-notes/iana/assignments/character-sets

[RFC 1766] IETF (Internet Engineering Task Force). RFC 1766: Tags for the Identification of Languages, ed. H. Alvestrand. 1995.
http://www.cis.ohio-state.edu/htbin/rfc/rfc1766.html

[RFC 2277] IETF (Internet Engineering Task Force). RFC 2277: IETF policy on character sets and languages, ed. H. Alvestrand. 1998.
http://www.cis.ohio-state.edu/htbin/rfc/rfc2277.html

[RFC 2278] IETF (Internet Engineering Task Force). RFC 2278: IANA Charset Registration Procedures, ed. N. Freed and J. Postel. 1998.
http://www.cis.ohio-state.edu/htbin/rfc/rfc2278.html

[RFC 2130] IETF (Internet Engineering Task Force). RFC 2130:

The Report of the IAB Character Set Workshop held 29 February - 1 March, 1996,
C. Weider, C. Preston, K. Simonsen, H. Alvestrand, R. Atkinson, M. Crispin, P. Svanberg. 1997.
http://www.cis.ohio-state.edu/htbin/rfc/rfc2130.html

[RFC 3023] IETF (Internet Engineering Task Force). RFC 3023: XML Media Types, ed. M. Murata. 2001.
ftp://ftp.isi.edu/in-notes/rfc3023.txt

[REC-XML] W3C Recommendation. Extensible Markup language(XML)1.0(Second Edition)
http://www.w3.org/TR/REC-xml

11 Disclaimer

The views and specification expressed in this document are those of the authors and are not necessarily those of their employers. The authors and their employers specifically disclaim responsibility for any problems arising from correct or incorrect implementation or use of this design.

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